



Learning Hours:  
2.5 Hours



www.sidc.com.my



## CAPITAL MARKET DIRECTOR PROGRAMME (CMDP) 2.0

# MODULE 1: CORPORATE GOVERNANCE FOR CAPITAL MARKET INSTITUTIONS

15 APRIL 2026 | 9.00AM - 12.30PM

CONFERENCE HALL, SECURITIES COMMISSION MALAYSIA



FACE-TO-FACE

### PROGRAMME OVERVIEW

Strong institutions are the foundation of resilient and trustworthy capital markets. In today's environment defined by heightened regulatory scrutiny, rapid technological change and increasing stakeholder expectations, directors of licensed intermediaries face unprecedented levels of responsibility and personal accountability. This imperative is reinforced by the Capital Market Masterplan 2026–2030 (CMP4), which places governance at the core of capital market development and positions regulatory and governance excellence as a critical foundation for a vibrant, inclusive, sustainable and regionally connected capital market.

To lead effectively, directors must possess a deep understanding of their fiduciary duties, regulatory obligations and the evolving landscape of risks and opportunities. Building on the four levels of competence outlined by Kiel et al. (2012)—Industry, Technical, Governance and Behavioural, the UK Institute of Directors (IoD) Director Competency Framework (2024) expands these expectations to include **digital fluency, sustainability consciousness** and the **professionalisation of directorship**.

A future-ready board today must demonstrate not only technical expertise and ethical judgment, but also the agility and foresight needed to navigate shifts in market structure, regulatory direction, emerging technologies and global sustainability trends.

#### Industry Intelligence and Strategic Foresight

Ability to interpret market dynamics, anticipate emerging trends and position the organisation for long-term competitiveness in line with CMP4's strategic direction on market vibrancy, regional opportunities and future readiness.

#### Technical and Digital Competence

Fluency in digital innovation, data governance, and technology-driven decision-making to enhance oversight and resilience, reflecting CMP4's emphasis on digital and innovation capabilities as enablers of capital market development

#### Governance and Behavioural Agility

Strong interpersonal, ethical, and communication skills that foster collaboration, inclusivity, and trust supported by CMP4's focus on stronger stewardship, accountability, transparency and governance excellence across the capital market ecosystem.

#### Sustainability and ESG Stewardship

Integration of ESG principles into strategic decisions to ensure responsible conduct, long-term value creation and alignment with global sustainability priorities, consistent with CMP4's objective of mobilising capital towards national sustainability goals and advancing a more ethical and purpose-driven market.

### PROGRAMME OBJECTIVE

The Capital Market Director Programme (CMDP) is an exclusive programme designed to support **newly appointed directors** and **senior leaders** in strengthening market stability, integrity and inclusivity across the capital market ecosystem. The programme equips participants with essential knowledge and practical **Board-level competencies** to effectively discharge their fiduciary duties and strengthen governance oversight, risk management, compliance and strategic foresight. It also serves as a platform for constructive dialogue on emerging issues, including evolving **regulatory requirements, sustainability priorities, digital innovation** and evolving **corporate governance practices**.

### LEARNING OUTCOMES

By the end of the programme, participants will be able to:

- Appraise directors' roles, fiduciary duties, legal obligations and potential liabilities under applicable laws and regulatory requirements in strengthening Board oversight and risk governance
- Apply key MCGG principles and practical governance tools to strengthen Board oversight, prevent governance failures and directors' liability, and support sustainable value creation through effective controls, ethical decision-making and stakeholder stewardship

### METHODOLOGY

Experiential learning via facilitated discussions and reflective observation of concepts, case studies and real-life experiences



### ICF COMPETENCIES

- **Core** – Corporate Governance, Ethics & Integrity, Risk Management (Proficiency Level 4)
- **Foundational (Regulatory)** – Capital Market Institutions, Capital Market Intermediaries, Capital Market Products Regulations (Proficiency Level 4)
- **Foundational (Product)** – Capital Market Environment (Proficiency Level 4)
- **Behavioural (Organisation Leadership)** – Strategic Thinking (Proficiency Level 4)

Industry Competency Framework (ICF) <https://cms.sidc.com.my/competency-dictionary/competency-type>

### PARTICIPANTS PROFILE

- Newly appointed directors and directors of Capital Markets Services Licence (CMSL) holders
- Public Listed Companies (PLCs) directors and C-Suites

### PROGRAMME OUTLINE

- 9.00 am **Understanding Board Responsibilities for CMSL-Licensed Entities**
- Directors' roles, accountability and governance responsibilities as outlined in the Licensing Handbook
  - Core legal and fiduciary duties of directors, including the duty of care, skills and diligence, under applicable laws and regulations, including but not limited to:
    - Companies Act 2016
    - Capital Markets and Services Act 2007
  - Best practices in mitigating directors' liability
  - Discussion on selected recent enforcement cases, governance failures and regulatory breaches
- 10.30 am Coffee Break
- 10.45 am **Practical Governance: Applying Malaysian Code on Corporate Governance (MCGG) for Sustainable Value**
- Understanding Malaysian Code on Corporate Governance (MCGG) key principles and recommendations
    - Board charter: Clarity of roles and responsibilities
    - Strategic planning, business oversight, and risk management
    - Succession planning for senior management
    - Stakeholder communication policy
    - Ensuring adequate internal controls and information integrity
  - Strengthening board composition with competent non-executive directors for independent oversight
  - Acting as gatekeepers in conflicts of interest, related party transactions, and whistleblowing
  - Relationship between Maqasid Shariah and corporate governance
- Mitigating Directors' Liability and Building a Strong Governance Culture**
- Common areas of director liability and how to avoid them
  - Importance of board oversight: Internal controls, compliance, risk management
- Case Study Discussions:**  
Governance failures and licensing implications
- 12.30 pm End of Programme

Visit [www.sidc.com.my](http://www.sidc.com.my) for More SIDC Training Programmes TODAY !



**Securities Industry Development Corporation** (765264K)  
3, Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur, Malaysia  
Email: [sidc@sidc.com.my](mailto:sidc@sidc.com.my) Website: [www.sidc.com.my](http://www.sidc.com.my)



Find us on Youtube, LinkedIn, Facebook & Instagram at Securities Industry Development Corporation - SIDC  
\*The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.

For enquiries on registration, please contact: **+603 6204 8439 / 8274** | Register today at [www.sidc.com.my](http://www.sidc.com.my)

Get in touch and speak to our friendly team:

**Abdul Qaiyum** | +6017 871 3242 | [Qaiyum.Ghazali@sidc.com.my](mailto:Qaiyum.Ghazali@sidc.com.my)

**Farith Jamal** | +6014 653 2578 | [Farith.Jamal@sidc.com.my](mailto:Farith.Jamal@sidc.com.my)

**Sarah Dalina** | +6011 2711 9658 | [Sarah.Dalina@sidc.com.my](mailto:Sarah.Dalina@sidc.com.my)

**Wan Mohd Farid** | +6012 641 7589 | [FaridK@sidc.com.my](mailto:FaridK@sidc.com.my)

**Syed Imran** | +6017 743 0773 | [imran.nasir@sidc.com.my](mailto:imran.nasir@sidc.com.my)