

CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) AS COMPLIANCE ADVOCATE



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MyCoID: 765264K



HRD CORP REGISTERED
COURSE/PROGRAMME NO:
10001402870

Make **Compliance** Your **Strength**



OVERVIEW OF CCMP AS COMPLIANCE ADVOCATE

COMPLIANCE ADVOCATE

Certified Capital Market Professional (CCMP) as Compliance Advocate programme is for experienced compliance professionals to lead, mentor, and network with compliance communities of practice, and discuss compliance issues, scenarios, formulate strategies, and solutions which balance business needs with regulatory requirements and expectations.

Compliance and the Regulator

Compliance Culture Advocate

Compliance Culture Advocate Programme
• Pre & Post Questions

Roundtable on Compliance

Collaborative Learning (for C1 & C2)
• Facilitator • Assessor

CERTIFICATION ENTRY AND COMPLETION REQUIREMENTS

COMPLIANCE ADVOCATE

Effective Compliance

CCMP: Culture Advocate

- CCMP-C2 holder; **OR**
- > 5 years experience in a leading compliance role within financial services

- Completed and passed CCMP-Compliance Advocate learning and collaborative learning participation



CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP) AS COMPLIANCE ADVOCATE

COMPLIANCE CULTURE ADVOCATE

Be a Compliance Leader who
Drives Your Organisation's Growth

Be confident and take the lead in managing your organisational risks better, and enhance your relationships with regulators and stakeholders.

Drive your organisation's purpose and missions and ensures your organisation's actions are consistent with its values.

Expand your network to better formulate strategies based on best practices and proven solutions.

CERTIFICATION ROADMAP - COMPLIANCE ADVOCATE



ENROLMENT

Receive email:

- Access link and user ID
- Roundtable date
- Webinars dates

WEBINARS



- Attendance and Completion
- Pre & Post Questions
- Discussion-based webinar
- Uploaded on LAMS
 - Video of webinar
 - Access to presentation slides
- Compulsory attendance and completion

COMPLIANCE CULTURE ADVOCATE PROGRAMME

Module 1 | Beyond Compliance: Leadership Strategies for Shaping Ethical Governance in the Capital Market

- Navigating Governance, Risk & Ethics for Capital Market Success
- From Compliance Champion to Culture Architect: Leading the Transformation

Module 2 | Building Security & Sustainability in Malaysia's Investment Landscape

- Compliance Innovation for Sustainable and Impactful Investments in Malaysia
- RegTech & AI: The Future of Secure Cybersecurity Compliance

CONFERRMENT CRITERIA



Participate in a minimum of three (3) times* either as:

- facilitator and/or assessor for real-life scenarios for CCMP-C1;
- facilitator and/or assessor for real-life scenarios for CCMP-C2;
- a panellist for the Roundtable on Compliance

** must be completed within one (1) year*

AWARD CCMP AS COMPLIANCE ADVOCATE





Programme Overview

**CERTIFIED CAPITAL MARKET PROFESSIONAL (CCMP)
AS COMPLIANCE ADVOCATE**

PROGRAMME OVERVIEW

The Malaysian capital market is undergoing a period of significant transformation. Emerging technologies, evolving regulations, and rising cybersecurity threats require compliance officers to be more than just rule-followers. They need to be strategic leaders, capable of driving cultural change, embracing innovation, and promoting sustainable business practices.

PROGRAMME OBJECTIVE

This programme will equip senior compliance officers with the knowledge and skills necessary to thrive in this dynamic environment.

LEARNING OUTCOMES

By the end of this programme, participants will be able to:

- Discuss the impact of global and local regulatory and business environment on the compliance function
- Develop strategies for compliance management and dealing with non-compliance
- Determine key principles, framework and practices of good governance and its importance
- Explain the role and responsibilities of directors and management in risk management and oversight
- Assess the current compliance programme and identify areas for cultural shift and improvement
- Design and implement effective strategies for integrating compliance into the organization
- Evaluate resources and tools to support the continuous journey towards a robust compliance culture
- Discuss new approaches and best practices for future compliance framework in meeting emerging trends, business needs and market demands to ensure business sustainability
- Evaluate the potential trade-offs and synergies between integrating ESG factors into investment strategies and achieving competitive returns
- Analyse the evolving cybersecurity landscape in the Malaysian capital market and the challenges it presents
- Discuss key RegTech and AI solutions for automating tasks, identifying threats and strengthening your cyber defences
- Evaluate the impact and ROI of RegTech and AI investments on cybersecurity posture



MODULE 1 (PART 1)

Navigating Governance, Risk & Ethics for Capital Market Success

PROGRAMME OUTLINE

Navigating Governance, Risk & Ethics for Capital Market Success

TIME	TOPICS
9.00 am – 10.00 am	<p>Unveiling the Terrain: Mapping the Evolving Regulatory Landscape</p> <ul style="list-style-type: none">• Deep dive into the current regulatory framework for the capital market, highlighting key trends and emerging regulations.• Analyzing the impact of global initiatives and policy changes on local compliance requirements – FATF Recommendations November 2023 Update• The challenges and opportunities presented by the evolving regulatory landscape.
10.00 am – 10.10 am	Screen Break
10.10 am – 11.40 am	<p>Fortifying the Foundation: Building a Robust Corporate Governance Framework</p> <ul style="list-style-type: none">• Revisit the principles of effective corporate governance in the context of evolving regulations and challenges.• Explore tools and frameworks for strengthening internal controls and ensuring responsible compliance practices.• Discussion: Practical strategies for enhancing Board oversight, stakeholder engagement, and ethical decision-making.
11.40 am – 11.50 am	Screen Break
11.50 am – 1.20 pm	<p>Mastering the Storm: Adapting Risk Management for Future- Proofing</p> <ul style="list-style-type: none">• Analyzing the changing risk landscape in the capital market, focusing on emerging cyber threats, data privacy concerns, and operational resilience.• Discuss proactive risk management strategies for identifying, assessing, and mitigating potential risks.• The integration of emerging technologies like AI and data analytics into risk management frameworks.• Case study: Equifax Data Breach and its impact on the company's risk management practices
1.20 pm	End of Programme



MODULE 1 (PART 2)

From Compliance Champion to Culture Architect:
Leading the Transformation

PROGRAMME OUTLINE

From Compliance Champion to Culture Architect: Leading the Transformation

TIME	TOPICS
9.00 am – 11.00 am	<p>Building Resilience Through Culture: Strategies for Transformation in a Digital Age</p> <ul style="list-style-type: none">• The need for a culture of innovation, adaptability, and continuous learning• How digital tools can be leveraged in the ethical decision-making process• Effective techniques for integrating technologies into existing workflows• Engaging and inspiring employees to become compliance champions• Discussion: Common challenges and resistance to cultural change
11.00 am – 11.15 am	Lunch Break
11.15 am – 1.15 pm	<p>The Business Imperative for Culture-Driven Compliance</p> <ul style="list-style-type: none">• The concept of compliance culture and its benefits• Explore the interconnectedness of people, culture, and sustainable success• The changing regulatory landscape and the emphasis on proactive compliance <p>Discussion: Navigating Crisis with a Strong Compliance Culture</p> <ul style="list-style-type: none">• Case study: Real-world examples of how a lack of compliance culture creates crises and disruptions• Discuss strategies for crisis preparedness and response from a cultural perspective
1.15 pm	End of Programme



MODULE 2 (PART 1)

Compliance Innovation for Sustainable and Impactful Investments in Malaysia

PROGRAMME OUTLINE

Compliance Innovation for Sustainable and Impactful Investments in Malaysia

TIME	TOPICS
9.00 am – 10.00	<p>Charting the Course: The Evolving ESG Landscape in Malaysia</p> <ul style="list-style-type: none">• Deep dive into the current regulatory environment for ESG investments in Malaysia, highlighting key trends and future directions• Analyze global regulatory developments and their implications for the Malaysian market
10.00 am – 10.05 am	Screen Break
10.10 am – 11.40 am	<p>Building the Fortress: Strategies for Innovative ESG Compliance</p> <ul style="list-style-type: none">• Demystifying the core elements of a robust ESG compliance framework• Practical strategies for integrating ESG factors into risk assessments, due diligence, and product development• Evaluate the role of ESG ratings in investment decision-making and their limitations• Discussion: Cutting-edge approaches to monitoring and reporting on ESG performance
11.40 am – 11.50 am	Screen Break
11.50 am – 1.20 pm	<p>Bridging the Gap: Communication and Reporting for Transparency and Trust</p> <ul style="list-style-type: none">• Unpack the potential trade-off between ESG integration and returns• Discuss long-term value creation and the concept of “sustainable alpha”• Share strategies for stakeholder communication and managing expectations on ESG performance• Case study: BlackRock’s Sustainability Investing Initiatives
1.20 pm	End of Programme



MODULE 2 (PART 2)

RegTech & AI: The Future of Secure Cybersecurity
Compliance

PROGRAMME OUTLINE

RegTech & AI: The Future of Secure Cybersecurity Compliance

TIME	TOPICS
9.00 am – 10.00 am	<p>The Evolving Threat Landscape: A Malaysian Perspective</p> <ul style="list-style-type: none">• Analyzing the current cybersecurity threats facing the Malaysian capital market and emerging trends.• The limitations of traditional compliance methods and the need for innovative solutions.• The regulatory landscape and compliance requirements related to cybersecurity – SC Guidelines on Technology Risk Management
10.00 am – 10.10 am	Screen Break
10.10 am – 11.40 am	<p>RegTech and AI: The Code Breakers of Cybersecurity</p> <ul style="list-style-type: none">• The concepts of RegTech and AI in the context of cybersecurity compliance.• Showcase key RegTech and AI solutions for risk management, identity verification, threat detection, and incident response.• Uncover the power of AI in threat detection, incident response, and predictive risk analysis.• Potential regulatory frameworks and legal implications of AI-driven compliance solutions• Case study: Successful RegTech and AI implementations in the capital market
11.40 am – 11.50 am	Screen Break
11.50 am – 1.20 pm	<p>Measuring Success: The ROI of RegTech and AI</p> <ul style="list-style-type: none">• The metrics and frameworks for measuring the impact of RegTech and AI investments.• Quantify the cost savings, efficiency gains, and improved risk mitigation achieved through RegTech and AI adoption.• Discussion: Strategize for future-proofing cybersecurity programme in the face of rapid technological advancements.
1.20 pm	End of Programme

For enquiries on registration, please contact: **+603 6204 8439 / 8274**

Register today at **www.sidc.com.my**

Get in touch and speak to our friendly team:

Farith Jamal | +6014 653 2578 | Farith.Jamal@sidc.com.my

Abdul Qaiyum | +6017 871 3242 | Qaiyum.Ghazali@sidc.com.my

Sarah Dalina | +6011 2711 9658 | Sarah.Dalina@sidc.com.my

Wan Mohd Farid | +6012 641 7589 | FaridK@sidc.com.my

Syed Imran | +6017 743 0773 | imran.nasir@sidc.com.my



www.sidc.com.my

Securities Industry Development Corporation (765264K)

3, Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur, Malaysia

Email: sidc@sidc.com.my Website: www.sidc.com.my



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** The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.*

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