

Certified Capital Market Professional (CCMP) – Compliance

Frequently Asked Questions

1. How many levels of certification are there in CCMP-Compliance?

There are three (3) levels available and they are:

- a. CCMP-Compliance 1
- b. CCMP-Compliance 2
- c. CCMP-Compliance Advocate

2. Is there any entry requirements for CCMP-Compliance?

Yes. Refer to the details below:

Level	Entry Requirements
CCMP-Compliance 1*	<ul style="list-style-type: none">• Degree/professional qualification from a recognised institution; or• Diploma from recognised institution with minimum 3 years relevant capital market experience
CCMP-Compliance 2	<ul style="list-style-type: none">• CCMP-Compliance 1 holder; or• Registered as Compliance Officer/Head of Compliance. Have at least 2 years working in Compliance for those not registered as Compliance Officers
CCMP-Compliance Advocate	<ul style="list-style-type: none">• CCMP-Compliance 2 holder; or• Registered as Head of Compliance by SC through SCLE with minimum 5 years relevant capital market experience

** For CCMP certifications, you must be at least 21 years old, which is in line with the requirements for a Capital Market Services Representative's Licence. The age requirement does not apply for those pursuing modular learning.*

3. How are the programmes being delivered?

The E-learning, webinars and collaborative learnings are all conducted virtually while the roundtable discussions (available for CCMP-Compliance Advocate) are in-person/physical events. The summative assessment is also conducted online via remote proctoring.

4. How do I register for the programme?

- a. Kindly visit: www.sidc.com.my/attend/programme/
You may "bookmark" this page for future reference
- b. Select the level/package/module that you would like to enrol
- c. Click "REGISTER NOW" button to start with the SIDC online registration system

- d. Key-in your “Email” and “Password”, click “Login” to Register
- e. For new users, you need to create an account before starting with the registration – Creating an account is free
- f. Once registered, you will be directed to the payment page where you can make the payment via FPX (online transfer) and Credit Card. Registration is successful once the payment is accepted by SIDC and any request for refunds shall not be entertained under any circumstances.

5. What is the completion requirement for each level of certification?

For **CCMP-Compliance 1 and CCMP-Compliance 2**, the Certificate of Completion will be issued to those who have completed and passed the E-learning, webinars, collaborative learning and summative assessment.

For **CCMP-Compliance Advocate**, the Certificate of Completion will be issued to those who have completed and passed the roundtable, webinars and collaborative learning. There is no summative assessment for CCMP-Compliance Advocate.

Refer to the summary in the table below:

Activities	Compliance 1	Compliance 2	Compliance Advocate
E-learning modules	✓	✓	-
Roundtable	-	-	✓
Webinar modules	✓	✓	✓
Collaborative learning	✓	✓	✓
Summative assessment	✓	✓	-

6. How do I access the online E-learning, webinars, collaborative learning and/or assessment?

You will receive an email from SIDC (sidc@sidc.com.my) containing the access link, unique “User ID” and “Password” for you to start all your online E-learning, webinars, collaborative learning, assessment and registration details of you in-person/physical roundtable discussions. SIDC will send you the information in a confirmation email upon receipt of full payment.

7. What happen if I fail my summative assessment?

You can register to re-sit the summative assessment at a fee of RM300 (*the fee is not inclusive of 6% SST*). Once registered, you can access your E-Learning modules and thereafter attend the summative assessment.

8. Can I record any of the session and is there recording available for webinars and collaborative learning?

No, recording is strictly prohibited. However, you can access the pre-recordings for webinars and collaborative learning until the date of your summative assessment. The recordings will be made available on SIDC online learning platform 24 hours after the completion of the live session and until the date of your summative assessment for first sitting candidates. Resitting candidates can only assess e-learning materials.

9. What do I do if I did not receive any email notifications from SIDC?

Please check any email received from sidc@sidc.com.my in your spam folder/junk email or you may alert our registration team at registration@sidc.com.my.

10. Who can I contact if I have any issues?

Areas	Email/Telegram
<u>Registration and Payment</u>	
Encik Mohd Shahrul Tel: +603 6204 8625	Email - registration@sidc.com.my
Puan Maznita Tel: +603 6204 8211	
<u>Technical Support</u>	
Encik Raimi Mohamed Tel: +603 6204 8267 or +6014 844 2995	Email - technicalsupport@sidc.com.my Telegram - https://t.me/RaimiFadzel Telegram: https://t.me/YunusVanSanten
Encik Mohammad Yunus Tel: +603 6204 8399 or +6017 323 6541	
<u>Summative Assessment</u>	Email - AssessmentSecretariat@sidc.com.my