# e-FAMILIARISATION PROGRAMME FOR TRADING REPRESENTATIVES (eFPTR) I

SIDCeLEARN

**SIDC**
www.sidc.com.my

REGISTERED TRAINING PROVIDER
MyCoID:765264K

HRD CORP CLAIMABLE

**Learning Hours:**
5.5 hours

Candidates will have the flexibility to learn at their own pace as well as have more learning avenues and learning time (maximum of 2 months access to the eFPTR) before attempting the assessment.

**HRD CORP REGISTERED COURSE/PROGRAMME NO: 10001138666**

**Fees:**
MYR 550
*(fee is not inclusive of 6% SST)*

## LEARN ONLINE AND FULFIL YOUR ENTRY REQUIREMENTS TO BE REGISTERED AS A TRADING REPRESENTATIVE (TR)

The Securities Industry Development Corporation (SIDC) is pleased to offer a standalone programme structure as approved by Securities Commission Malaysia for the Familiarisation Programme for Trading Representatives (FPTR). This programme is designed as a learning tutorial covering the knowledge and skills relevant for Trading Representatives (TRs) pursuant to the Licensing Handbook to carry out their duties competently and in compliance with prevailing laws and regulations.

## PROGRAMME STRUCTURE

### eFPTR I Learning Programme + Assessment

The eFPTR1 is a self-directed online learning programme offered via the SIDC Learning Management System (SIDC eLearn) platform comprising of 11 modules. It is designed as a learning tutorial covering the knowledge and skills relevant for Trading Representative (TRs) (as defined in the Licensing Handbook) to carry out their duties competently and in compliance with prevailing laws and regulations. The broad spectrum of e-learning tutorials will provide a comprehensive understanding of the Malaysian securities market for Trading Representatives (TRs) working with Participating Organisations (POs) to carry out their duties effectively and in compliance with the law.

Upon completion of this learning programme in accordance to the recommended learning hours set out below, participants will be better prepared to undertake the FPTR1 Assessment.

### LEARNING PROGRAMME
- Self-directed online learning programme
- Accessed via the SIDC LMS platform for a maximum of 2 months
- Modular - Participants need to complete all 11 modules
- Knowledge check - 5 quiz questions in each module
- Recommended Learning Hours (LH) - 5.5 hours

### ASSESSMENT
- 50 multiple choice questions
- 1 hour 30 minutes
- Passing mark - 60%
- Remote proctored assessment
  (slots are limited, subject to first-come-first-served basis)

## LEARNING OUTCOMES

Upon completing the programme, the participant should be able to:
- Describe the general overview of the Malaysian securities markets and its main participants
- Explain the regulatory structure of the securities industry in Malaysia
- Explain the main laws and regulations governing the operation of the securities industry in Malaysia
- Illustrate the relationship between the stockbroking company, licensed representative, trading representatives and clients
- Describe the activities and current trends connected to money laundering and terrorism financing and the Malaysian regulatory approach towards them
- Outline the licensing requirements and obligations of person(s) who carries (carry) on the business of dealing in securities and their representatives
- Define various securities offences and description of how they are regulated
- Describe the operations of the stock market in Malaysia
- Recognise the uses of financial statements and its significance in financial and investment analysis
- Identify essential elements of financial statements which are used in carrying fundamental analysis
- Explain the features, characteristics and risk associated to various capital market products.

## TARGET AUDIENCE

Designed for those who intend to be to be registered as a Trading Representative (TR) pursuant to the Licensing Handbook.

## ICF COMPETENCY LEVEL

- FOR01 Anti-Money Laundering
- FOR02 Capital Market Institutions
- FOR03 Capital Market Intermediaries
- FOP02 Capital Market Fundamentals
- FOP03 Capital Market Products
- FUT05 Fundamental Analysis
- FUP16 Securities Trading
- FUP18 Settlement of Securities Trades

  *All at proficiency level 2*

## RECOMMENDED LEARNING HOURS

5.5 hours to complete all 11 modules (including the knowledge-check)

## REMOTE PROCTORING MOCK EXAMINATION

**REMOTE PROCTORING EXAMINATION MOCK-UP**
This remote proctoring examination mock-up is intended to assist candidates in familiarising themselves with the remote proctoring system and the SIDC assessment management system. Candidates are encouraged to attempt the mock examination prior to their actual examinations.

INDUSTRY COMPETENCY FRAMEWORK

CMS CAPITAL MARKET

OUTSTANDING CONTRIBUTIONS TO THE FIELD OF HUMAN RESOURCE DEVELOPMENT
HRD AWARDS 2019 WINNER

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Find us on Youtube, Linkedin, Facebook & Instagram at Securities Industry Development Corporation - SIDC
*The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.*

For enquiries on registration, please contact: **+603 6204 8439 / 8274** | Register today at **www.sidc.com.my**

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