

# ETHICS AND INTEGRITY, PROFESSIONALISM AND CORPORATE DILEMMA

24 MAY 2021 | 10.00 AM - 12.00 PM

SIDC WEBINAR



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Learning Hours: 2 hours



Fees: MYR 350 (fee is not inclusive of 6% SST)



CPE Points Earned: 5 CPE points

## MODERATOR

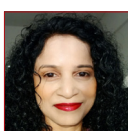


**MOHD NIZAM MOHD ALI, PHD**  
Ethics Standards Board Chair,  
Malaysian Institute of  
Accountants (MIA)

## PANELLISTS



**DATO' AZMI MOHD ALI**  
Senior Partner,  
Azmi & Associates



**REINUSHINI CHANDRASEGARAM**  
CEO & Principal Consultant,  
RC Compliance Consultancy

CORPORATE GOVERNANCE

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## PROGRAMME OVERVIEW

"In looking for people to hire, you look for three qualities: INTEGRITY, intelligence, and energy. And if they don't have the first, the other two will kill you."  
Warren Buffet

Ethics and integrity are at the heart of any workplace culture and they are the values most sought after within an organisation's policies and practices. Therefore, building workplace ethics and integrity involves ethical leadership, active management, effective processes, professionalism and most importantly self-internalisation by the employees.

Across industries, high ethical standards are critical to maintain public trust, confidence and reputation of any businesses. Unethical business practices could severely affect the company's reputation and lead to long-term financial difficulties and hinder its ability to be sustainable. However, professionals in every industry sometimes encounter dilemmas in making ethical judgments. Therefore, it is imperative to understand the key factors involved in carefully weighing the options and in making the best decision to ensure the business sustainability remains intact.

## PROGRAMME OBJECTIVE

This programme will discuss the ethics and integrity in today's corporate environment, focusing on a practical standpoint and how it could lead to catastrophic consequences if weaknesses or gaps in our conduct are not addressed. Discussions will also revolve around issues and challenges faced in strengthening business integrity, professionalism at work and corporate dilemma in dealing with the many facets of ethics, integrity and corporate governance.

## ICF COMPETENCY LEVEL

- Core - Ethics & Integrity, Corporate Governance (Proficiency Level 3)
- Foundational (Regulatory) - Capital Market Institutions (Proficiency Level 3)
- Foundational (Regulatory) - Capital Market Intermediaries (Proficiency Level 3)

## LEARNING OUTCOMES

Upon completion of this programme, participants will be able to:

- Explain the ethical and integrity scenarios which could lead to negative implications within business organisations
- Discuss the important factors in dealing with confidentiality and conflict of interest
- Describe the grey areas in relations to personal and professional ethics
- Recognise new digital technology that could enhance ethics and integrity at work
- Discuss current ethical and governance issues arising from the recent pandemic

## WHO SHOULD REGISTER?

### Individuals

Ethics, integrity and compliance professionals, risk management officers, lawyers, accountants, employees of investment and commercial banks, insurance companies, PLCs, stockbroking firms, fund management companies, unit trust management companies (UTMCs), financial planners, investment advisors.

### Companies

PLCs, legal firms, consultancy firms, accounting firms, fund management companies, stockbroking firms, investment banks

## WHAT WILL YOU LEARN?

### Fundamental Principles – Has it Changed with Times?

#### Outline

- Catastrophic failures or disasters of professional ethics and integrity and its impact: Case scenarios

### Confidentiality and Conflict of Interest Dilemma

#### Outline

- Accountability
- Transparency
- Personal interest
- Social media
- Oversight challenges

### Case Discussions: Companies that Successfully Pivoted

#### Outline

- Case studies of businesses that emerged victorious in surviving and growing despite the pandemic
- How they did it and how fast? Success factors and lessons learnt

### A Professional of Integrity

#### Outline

- Unwrapping personal and professional ethics: The blurred lines
- Use of AI in ethics and governance as a tool in strengthening business integrity

### Discussions

#### Outline

- Struggles amidst Covid-19: Facing ethical difficult decisions
- Governance - Controlling shareholders' vs independent director's role

### Moderator

- Mohd Nizam Mohd Ali, PhD** Ethics Standards Board Chair, Malaysian Institute of Accountants (MIA)

### Speakers

- Dato' Azmi Mohd Ali** Senior Partner, Azmi & Associates
- Reinushini Chandrasegaram** CEO & Principal Consultant, RC Compliance Consultancy

### Recommended Learning Hours:

2 hours / 5 CPE points / Pre & Post Assessments

### Methodology:

Online Polls / Videos / Case studies

## SPEAKERS



### DR MOHD NIZAM ALI

Nizam Ali (DNA) is an Expert Resource in the knowledge discipline of ethics, leaderships, and civilisations. His much sought-after expertise in the systematic contents and periodic consultancy concerning good governance, ethical leadership and trustworthiness in business and grounded nation-building patriotic constituencies made him to be a person of interest to many circles of influential thought leaders, in both national and international realm.

He is acknowledged by the Ministry of Domestic Trade and Consumer Affairs as a leading resource in designing a revised version of the Malaysian Code of Business Ethics, by professional bodies under the auspices of Bank Negara Malaysia as a technical reviewer and competent facilitator (Malaysian Insurance Institute and Chartered Institute of Islamic Finance Professionals) and by the Malaysia Productivity Corporation as an accomplished author in Governance ecosystem.

Nizam currently serves as the Chairman of Ethics Standards Board of the Malaysian Institute of Accountants.

Throughout his 16-year national service as the senior Director of the Malaysian Institute of Integrity, he has written and edited 23 ethical integrity-themed publications that are widely read and referred amongst the select groups of working professionals and institutions in the anti-corruption/integrity/ethics advocacy and enforcement agencies circles.

He started his solid 9-year career in Shell Malaysia in the portfolios of Commercial Marketing, Strategic Alliance and Joint Venture before retiring as a Learning Adviser in Human Resource Development. His 5-year entrepreneurial foray brought him into the niche segments of National Master Franchise (Australia/New Zealand/USA) marketing, Knowledge-based Management Training and local/global NGOs (ANSARA Malaysia, Transparency International-Integrity for Action and Veteran VAT69 Commando of the PDRM/Royal Malaysia Police).

Nizam is a teaching faculty member and modular guest-speaker in the areas of Ethics and Civilisational Studies in 3 local universities (UTP, UTM and UMT) and a Fellow to the Faculty of Accountancy (UUM).



### DATO' AZMI MOHD ALI

Dato' Azmi Mohd Ali (60) is the Senior Partner of Azmi & Associates, a full service corporate and commercial law firm of approximately 85 lawyers, based in Kuala Lumpur, Malaysia. The firm, under his leadership, is recognised as one of the largest law firms (by size) in Malaysia.

He holds LL.B (Hons.) from the University of Malaya (1984) and LLM in US & Global Business Law from the Suffolk University, Boston, U.S.A (2011). He has also attended a short program on Enterprise Innovation at Cambridge University Business School.

He is an experienced and is one of the leading corporate lawyers in Malaysia with expertise in the areas of mergers and acquisitions, joint ventures, cross-border transactions, project finance, privatisation, energy, oil and gas and foreign investments.

Prior to his venture in private practice, Dato' Azmi spent 6 1/2 years as an in-house counsel of PETRONAS. He was involved in projects of national importance for Malaysia and negotiated and concluded the NGPSA, a major gas development project for Malaysia, in 1990.

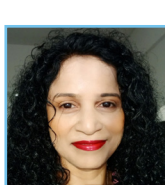
His accomplishments as a corporate lawyer are well noted and have earned him numerous awards, and recognitions from reputed international legal publications. He won the prestigious Legal 500 Hall of Fame for M&A/corporate 2020, Distinguished M&A Practitioner for AsiaLaw 2020, International Law Office 2016 Clients Choice Award for Malaysia in Mergers & Acquisitions, and other international publications.

Currently, he is a Director of S P Setia Berhad, Maybank Islamic Berhad, Sapura Energy Berhad, Worldwide Holdings Berhad as well as Director of Institute of Corporate Directors Malaysia. He has previously served as a Director of Sime Darby Berhad, Chemical Company of Malaysia Berhad, CCM Biotech Duopharma Berhad, Perbadanan Nasional Berhad, UITM Holdings Sdn Bhd and Metacorp Berhad.

He was a Director of Financial Reporting Foundation, a Government-backed foundation to facilitate the advancement of Malaysian accounting standard, and was also the Chairman of Malaysia International Franchise Sdn Bhd ("MyFranchise"). On the legal industry front, he is a Director of Terralex, a Florida-based world-wide network of 155 law firms with 19,000 lawyers within its member firms spanning across 100 jurisdictions world-wide.

On the academic front, he was a Director of a public university, Universiti Malaysia Kelantan and has also served as an Adjunct Professor of Law at the International Islamic University of Malaysia for more than 3 years and Adjunct Professor at the Universiti Kebangsaan Malaysia's Law Faculty. He was also a Director of Yayasan Putra Business School, a UPM-related private university.

He is also a member of ICC Malaysia (International Chamber of Commerce Malaysia) and member of the Panel of Conciliators and Panel of Arbitrators, International Centre for Settlement of Investment Disputes (ICSID).



### REINUSHINI CHANDRASEGARAM

Reinushini Chandrasegaram is a strong advocate of organisational integrity; and is a thought leader and industry expert in compliance. She is the CEO and Principal Consultant of RC Compliance Consultancy, a boutique risk-and-solutions based compliance advisory firm based in Kuala Lumpur, Malaysia.

She consults with major organisations in a broad range of industry sectors to develop, implement and evaluate integrated compliance programs and frameworks to meet the requirements of Corporate Liability adequate procedures (Section 17A MACC Act 2009) & ISO 37001:2016 ABMS, providing advisory on corruption risk assessment, compliance training, corporate governance and sustainability statements for Annual Reports.

Reinushini held senior positions and leadership roles in Business Integrity & Compliance as Compliance Officer and Advisor in a global European Oil and Gas multinational and in Internal Audit in conglomerates. She has over 20 years' professional experience in business integrity & anti-corruption compliance, corruption risk assessment, internal auditing, whistleblowing and fraud investigations, international sanctions, human rights, 3rd party due diligence and corporate governance.

Reinushini is a Chartered Certified Accountant & FCCA (Fellow of the Association of Chartered Certified Accountants), Certified Internal Auditor (CIA), Certified Anti-Money Laundering, Certified Financial Auditor (CIA), Certified Officer (CAMCO), with an MBA from University of Leicester, UK. She led in-house business integrity, compliance, risk and internal audit professional reviews and knowledge sessions in Europe, Africa and Asia Pacific. Her writing on anti-corruption compliance best practices and internal audit has been published in professional journals, national newspaper and internal global company arena.

Reinushini speaks at national and international professional forums and conferences and frequently facilitates programs for Directors, C-suite and Senior Management. She is a TASA Instructor faculty of TRACE International, Inc. (USA), certified HRDF trainer, ISO 37001:2016 ABMS certified and alumni of International Anti-Corruption Association (IACA).

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\*The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.

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