2018 E - INITIATIVES

1 SIDC CASHLESS INITIATIVE Promote cashless transactions Implemented Maybank QR pay on 14 September 2018 in SIDC bookstore **IN-DEVELOPMENT PROJECT** ~~

Capital Market Competency Management System (CMS) Target to launch by February 2019

Enterprise Resource Planning (ERP) system Target to implement by July 2019

2018 STAFF ENGAGEMENT INITIATIVES





HOD Drive | Sekebun Bunga @ Ratu Rening Residency, Batang Kali | 14 April 2018

2018 SOCIAL IMPACT INITIATIVES -SIDC CHANGING LIVES



Procurement of cookies baked by RC Deaf Missions, a social enterprise, for over 300 participants during BFF 2018 Conference



Going Green - ceased distribution of plastic bottled water in all SIDC events and programmes



KEY INITIATIVES FOR 2019

Launch of SIDC Competency Management System (CMS); an online self-assesment tool to identify gaps and empower personal professional development planning.

Enterprise Resource Planning (ERP)

2018 PROGRAMMES

Training Programmes

No	Programmes	No of Participants
1	Blockchain: A Game Changer for KYC	37
2	Business Trends: China and its Impact on ASEAN and Malaysia	15
3	Capital Market Director Programme	269
4	Change Management - Driving Effective Change	15
5	Changes in the Listing Requirements	126
6	Combating Money Laundering and Fraud in a Digital Economy	24
7	Corporate Governance Programmes	509
8	Creative Problem Solving & Decision Making	56
9	Cryptocurrency, Blockchain and Beyond: A Cautionary Tale	147
10	Customised Programmes (In-house)	4493
11	Cyber Security: Cyber Proofing for the Next Wave	24
12	Data Mining and Predictive Analytics – Using Current Data to Predict Future Trends	42
13	Developing Effective Negotiation Techniques	26
14	Developing Successful Marketing Strategies	23
15	Digital Marketing and Selling – Expanding Your Brand Awareness and Marketing Outreach	27
16	Familiarisation Programme for Marketing Representatives (FPMR)	163
17	Familiarisation Programme for Registered Representatives (FPRR) II	24
18	Global Business Insight Series (GBIS)	244
19	Harnessing the Power of Big Data Analytics	31
20	How Millennials are Shaping the Future of Capital Markets	40
21	Industry Transformation Initiative (ITI)	970
22	Implementing Effective Corporate Strategy Using Sun Tzu's Art of War	17
23	Islamic Capital Market Graduate Scheme (ICMGTS)	80
24	Islamic Capital Market Series	59
25	Linking Business Acumen to Business Sustainability	32
26	Managing Difficult Customers Professionally	36
27	Project Management, Planning and Execution (2 days)	18
28	QE1: Economics and Capital Market Analytics-Analysis of Business Impact Trend	21
29	SCLE Revision programme	287
30	SIDC - CFA 020 Luncheon	127
31	SIDC Conferences - 2019 Budget: What You Need To Know - The Economy, Capital Market and You	501
32	SIDC Conferences - Business Foresight Forum (BFF2018)	334
33	SIDC Workshop Series	112
34	Stress Management at Work	20
35	Transitioning from Data Warehousing to Big Data - Meeting Business Challenges of Data Volume, Velocity and Variety	19
	Total	8968

SCLE Preparatory Courses

Module	Course Title	No of Participants	No of Sessions
Module 6	SCLE Revision: Stock Market and Securities Law (Module 6)	25	2
Module 7	SCLE Revision: Financial Statement Analysis and Asset Valuation (Module 7)	55	2
Module 9	SCLE Revision: Funds Management Regulation (Module 9)	26	3
Module 10	SCLE Revision: Asset & Funds Management (Module 10)	53	3
Module 12	SCLE Revision: Investment Management and Corporate Finance (Module 12)	62	3
Module 16	SCLE Revision: Rules and Regulations of Derivatives (Module 16)	2	1
Module 19	SCLE Revision: Advisory Services (Rules and Regulations) (Module 19)	64	4
	Total	287	18

Industry Transformation Initiative (ITI)

No	Course Title	No of Participants	No of Sessions
EQ01	Economics and Capital Market I: Forces Shaping Global Capital Markets	173	4
EQ02	Essentials of Fundamental Analytics I: Analysing Company Performance	320	5
EQ03	Corporate Strategic Analytics I: Essentials of Corporate Proposal Analysis	285	4
EQ04	Corporate Governance and Ethics: Strengthening Professionalism Through Ethics	170	4
PM01	Portfolio Management: Designing an Equity Strategy	22	1
	Total	970	18

INDUSTRY ENGAGEMENT INITIATIVES

Capital Market Services Representative's License (CMSRL) in Corporate Finance & Investment Advice (CCOFIA) - A partnership with Yayasan Peneraju Pendidikan Bumiputera

- A total of 88 participants have enrolled in Cohort I, 2 & 3 of the CCOFIA Programme. The breakdown is as follows:
- Cohort 1: 34 participants (completed)
- Cohort 2: 28 participants (completed)
- Cohort 3: 26 participants (completed)
- A total of **73 (83%)** out of the 88 participants from
- Cohort 1, 2, and 3 have passed both Modules 12 & 19 of the SC Licensing Examinations in 2016, 2017 and 2018 respectively.
- Out of 44 (60%) out of 73 participants (who passed both Modules 12 & 19) have obtained their license in Advising Corporate Finance (CF) or Investment Advice (IA)

Global Business Insight Series (GBIS) - A collaboration with International Institute for Management Development (IMD)

- Conducted 2 GBIS sessions as follows
- ▶ 26 March 2018 on Strategies of Industry Disruptors with IMD's Professor for Marketing and Strategy, Dominique Turpin. Attended by 112 participants
- 4 September 2018 on How Innovation is Changing Leadership with IMD's Professor for Innovation Management, Bill Fischer. Attended by 132 participants

020 Luncheon Series – A partnership with Chartered Financial Analyst (CFA)

- Conducted 2 Luncheon Series as follows:
- ▶ 29 January 2018 on Top 9 Valuation Mistakes & How to Avoid Them with CFA's CEO of A.Stotz Investment Research, Dr. Andrew Stotz. Attended by 53 participants
- ▶ 26 April 2018 on Future State of Investment Profession with CFA Institute's Managing Director, APAC, Nick Pollard. Attended by 74 participants





ANNUAL HIGHLIGHTS 2018

SECURITIES INDUSTRY **DEVELOPMENT CORPORATION (SIDC)**



MARKET INTERMEDIARIES

SC LICENSING EXAMINATIONS (SCLE) 2018



00 Linearcing	Furningtions	Number of candidates	
SU LICENSING	Examinations	2018	2017
Module 6	Stock Market and Securities Law	814	752
Module 7	Financial Statement Analysis and Asset Valuation	1,014	861
Module 9	Funds Management Regulation	209	194
Module 10	Asset and Funds Management	175	168
Module 11	Fundamentals of Compliance	87	80
Module 12	Investment Management and Corporate Finance	476	422
Module 14	Derivatives	126	176
Module 16	Rules & Regulations of Derivatives	122	159
Module 17	Securities and Derivatives Trading (Rules and Regulations)	11	23
Module 18	Securities and Derivatives Trading (Products and Analysis)	10	25
Module 19	Advisory Services (Rules and Regulations)	290	385
Module 19A	Advisory Services (Rules and Regulations) - Part A	127	136
Module 19B	Advisory Services (Rules and Regulations) - Part B	2	0
	Total	3.463	3,381

CONTINUING PROFESSIONAL EDUCATION (CPE)





BUMIPUTRA TRAINING FUND (BTF)

- 488 participants underwent various courses funded by BTF such as :
- Creative Problem Solving and Decision Making Market Misconduct: How to Detect and
- Prevent Them Cryptocurrency, Blockchain & Beyond: A
- Cautionary Tale Managing Difficult Customers Professionally
- ► How Millennial are Shaping the Future of Capital Market

BUMIPUTERA DEALER REPRESENTATIVES

690 of the ERPs remain active

EDUCATION FUND (BDREF)

- 29 applications approved by BDREF Education committee to enroll into the following professional qualification courses: Diploma in Technical Analysis (MSTA)
- Master in Islamic Finance Professional (MIFP) Chartered Financial Analyst
- Between 2003 2018, 203 participants have successfully completed various professional courses.
- **566** Bumiputera remisiers and dealer's representatives took part in non – examination based program or short courses approved under BDREF in 2018.
- An increase of 67% from 339 participants recorded in 2017.

An exclusive platform for board directors and senior leaders of licensed intermediaries to acquire and share knowledge, skills and attributes. 0 CAPITAL MARKET DIRECTOR Programme In 2018: A total of **2376** 4 batches comprisin participants attended the CMDP since 2015 (CMDP) 269 participants TALENT PIPELINE DEVELOPMENT A total of Batch 18 completed its course on 1,505 qualified applicants 27 July 2018 80 applicants were selected Batch 19 completed its course on SLAMIC CAPITAL 323 attended the 30 November 2018 RKET GRADUATE assessment sessions TRAINING (ICMGTS) 762 graduates have participated in the scheme, of which 74% have been employed in various industries such as capital market, commercial banking and consulting companies. INTERNATIONAL REGULATORS PROGRAMME AND CONSULTANCY Study Visit by The Indonesian Capital Market Institute (TICMI) Securities 13 Commission Malaysia, Kuala Lumpur APR SIDC hosted 20 officials from TICMI for a study visit and knowledge sharing session. The delegation from TICMI comprised officials from the Corporate Services Department, 2018 Customer Relation Department and the IT Department. APEC-ARFP Targeted Technical Assistance to Inform the Development of Philippines' 30-31 Policy and Position on the Asia Region Funds Passport MAY Regulator-Industry Dialogue on the Asia Region Funds Passport and Technical Workshop on & 1 JUN Regulating the Funds Industry Sector, Peninsula Hotel, Manila A total of 27 participants from Philippines' asset management industry and 25 officials from 2018 the Securities and Exchange Commission (SEC) Philippines had participated in the workshop. 6-7 ACMF Corporate Bond Market Workshop, Siem Reap, Cambodia SEP The workshop was attended by approximately 50 participants from the private sector comprising investors, issuers & arrangers from Cambodia. 2018 SIDC INDUSTRY UTILITY PLATFORM THE JOURNEY SO FAR... AND BEYOND 2019 2017 2018

DIRECTORS TRAINING

Development of the **Competency Management** System (CMS), a self-rated online assessment tool for individuals to gauge their level of competency against the capital markets industry standard competencies highlighted within the ICF.

Launch of the Industry

Competency Framework

(ICF) for the capital market,

a comprehensive framework

comprising 58 competencies

with a detailed guideline of

the competency requirements

covering 105 job roles across

the regulated activities.



To la	unch the Cl	MS as the	
pref	erred indust	try career	
man	agement a	nd assessm	ent
plat	form to ena	able a consist	tent
and	comprehen	sive compari	son
amo	ng talents v	vithin the ind	lust
whil	e providing	differentiate	d
deve	lopment for	r different rol	les
diffe	rent compe	tency levels.	



- Management Berhad
- supporting partners

* include the multiple counting of candidates who attended more than one module/examination

MPETENCY MANAGEMENT SYSTEM (CMS)
157 industry participants involved in the development, validation and testing of the CMS awareness session
 Industry Focus Group (IFG) Focus Group Workshop - 2-day session Group discussions to solicit insights and inputs for selected competencies 18 to 19 April 2018 27 participants comprising DS, DD, FM, CF, IA and FP
Draft Development ■ Draft for all 58 competencies questions, scenarios and statements ■ Confirmation of process flow and algorithm for assessment report & scoring
Selected Focus Group Interviews 9 June 2018 to 10 August 2018 7 participants comprising DS, DD, FM, CF, IA and FP
 Validation Group Validation of assessment questions and process flow with Validation Group (VG) half-day session Refinement of assessment post VG Endorsement of Draft Assessment by SIDC Steering Committee 28 August 2018 21 participants comprising DS, DD, FM, CF, IA and FP
 Industry Briefing Awareness session for HR, learning and development personnel or those in charge of training of capital market intermediaries 24 October 2018 218 participants comprising DS, DD, FM, CF, IA, FP and RP
 Pilot Test Group Testing of the CMS system with Pilot Group for the overall user experience and assessment construct Post-pilot fine tuning and preparation for full system roll-out in January 2019 3-6 December 2018 102 participants comprising DS, DD, FM, CF, IA, FP, RP and ICMGTS Graduates
DS - Dealing in Securities DD - Dealing in Derivatives FM - Fund Management CF - Advising on Corporate Finance IA - Investment Advice FP - Financial Planning RP - Registered Persons ICMGTS - Islamic Capital Market Graduate Scheme

CERTIFIED INVESTMENT PROFESSIONAL (CIP) QUALIFICATION

Engagement Sessions

SIDC CO

April

2018

May to July 2018

October

2018

Dece

mber

2018

Validation Phase

Pilot Testing

Lecturers.

Engaged 27 public universities nationwide from January to March 2018 to create awareness of the CIP to universities

The representatives from each university were the Deputy Vice Chancellors, Deans of Faculties and

Key feedback from the engagement session:

▶ CIP qualification clarifies the career opportunities in the capital market

> The qualification would be a significant 'value-add' in enhancing the employability of graduates > CIP qualification via its curriculum and modules would enhance the investment literacy of university students

SIDC CONFERENCES





Themed "Disruptions and Collaborations The Rise of Capital Market Businesses 4.0?" the inaugural SIDC flagship conference served as a forum for business leaders and policy makers to recognise challenges and opportunities posed by new global market developments and work together in

responding to them 334 registered participants from various industries and businesses • The forum saw a good mixture of speakers from the likes of > YBhg. Tan Sri Datuk Dr. Rebecca Sta Maria, Chairman,

Institute for Diplomacy and Economic Affairs (IDEAS) ▶ Teng Chee Wai, Managing Director, Affin Hwang Asset

► Joann Enriquez, Chief Operations Officer, Ethis Ventures Dr. Mark Lovatt. Chief Executive Officer. Trident Integrity Solution • Earned over **RM 150,000** worth of sponsorship and

2019 BUDGET: WHAT YOU NEED TO **KNOW - THE ECONOMY, CAPITAL MARKET AND YOU**

- Held on 14 November 2018
- This conference aimed to provide participants with new insights on the 2019 Budget proposals, its impact on the economy and capital market as well as the outlook for 2019
- 501 registered participants from various industries and businesses
- Notable speakers include:
- > YB Dr. Ong Kian Ming, Deputy Minister Of International Trade & Industry
- ▶ Tony Pua, Member Of Parliament For Damansara And Political Secretary To The Minister Of Finance
- ► Dr. Verinderjeet Singh, Non Executive Chairman, Axelasia Inc
- **Suhaimi Ilias,** Chief Economist, Maybank Investment Bank
- Earned over RM 60,000 worth of sponsorship and supporting partners