



www.sidc.com.my

Errata

(Issued in July 2020)

Relevant for

Module 9: Funds Management Regulation Fourth Print 2013 (revised)

Copyright 2013

Securities Industry Development Corporation

3, Persiaran Bukit Kiara

Bukit Kiara, 50490 Kuala Lumpur

(This document consists of 2 pages including the cover page)

Other Materials you will Require - Page v

Removed

- Guidelines on Outsourcing for Capital Market Intermediaries

2.5 Securities Commission Guidelines Affecting Fund Management Companies - Topic 2, Page 2-7

Removed

- Guidelines on Outsourcing for Capital Market Intermediaries

2.1 Securities Commission Act 1993 - Topic 2, Page 2-5

Addition

- to register or recognise all auditors of public interest entities or schedule funds for the purposes of this Act, and to exercise oversight over any person who prepares a report in relation to financial information of public interest entities or schedule funds, in relation to capital market activities
- to promote confidence in the quality and reliability of audited financial statements in Malaysia, and to promote and develop an effective and robust audit oversight framework in Malaysia

4.1 Government Regulators, Bank Negara Malaysia - Topic 2, Page 2-9

Removed

Under the BAFIA (Banking and Financial Institutions Act 1989), BNM approval is required to incorporate a subsidiary of a licensed financial institution, such as an asset management company.