

CORPORATE LIABILITY SERIES

SECTION 17A MACC ACT 2009

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23 APRIL 2020

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- Evolution of Corporate Liability: Forms, Types and Nature of Corrupt Practices
- Key Features of the New Section 17A MACC Act 2009 on Corporate Liability
- Guidelines on Adequate Procedures: Safeguarding the Organisation against Corruption



WONG SUE WAN
Partner | Wong & Partners



Learning Hours:
1-1.5 hours Per Webinar



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MYR 350 Per Webinar
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ICF COMPETENCY LEVEL

- Core – Ethics & Integrity (Proficiency Level 3)
- Core – Corporate Governance (Proficiency Level 3)
- Foundational (Regulatory) - Capital Markets Regulations (Proficiency Level 3)

WHO SHOULD REGISTER?

Individuals

Directors, Company Secretaries, Partners of Firms, C-Suites, Lawyers, Accountants, Corporate Consultants, Capital Markets Services Representative's License Holders, Professionals undertaking corporate advisory work, Financial Controllers, Head of Compliance, Head of Legal, Integrity Officers, Risk Officers, Sustainability Officers, Internal Auditors

Companies

Public Listed Companies (PLCs), Legal Firms, Consultancy Firms, Accounting Firms, Fund Management Companies, Stockbroking Firms, Investment Banks

SPEAKER

Wong Sue Wan

Sue Wan Wong is a partner in the Corporate, Commercial & Securities Practice Group of Wong & Partners, the member firm of Baker & McKenzie International in Malaysia.

Sue Wan has advised clients on issues relating to exchange controls, securities offerings, distributive trade, directors' duties, shareholders' rights, schemes of arrangement, general commercial, employment law, employee share option schemes and tax. She has undertaken numerous transactions relating to initial public offerings, restructuring, mergers and acquisitions including divestitures, foreign investments and joint ventures for both local and cross border transactions. She has also advised clients in the acquisition of shares in insurers and the transfers of insurance businesses vide schemes of transfer under the Malaysian Insurance Act 1996.

Sue Wan's practice includes advising on a suite of financial services regulatory matters, including on establishment of financial service providers, regulatory enquiries, marketing of financial products and financial services compliance. She engages and advises businesses involved in the innovation, investment and development of financial technology (FinTech) products and services. Sue Wan has vast experience in advising clients at the forefront of innovation, helping to navigate the web of regulatory and compliance challenges, and to facilitate partnerships between industry players and regulators.

In addition to her practice with the Firm, Sue Wan is a member of the US-ASEAN Business Council (Financial Services Committee) and the Secretary of the FinTech Association of Malaysia, which aims to engage ASEAN public and private to advance regional financial sector development and integration, contribute to ASEAN's development as a global asset class as regional governments implement the ASEAN Economic Community (AEC), and shape regional financial integration in line with committee members' business objectives and global best practices.

Sue Wan was admitted to practice in Malaysia in 2003. She holds a Bachelor of Laws (Honours) from the University of Malaya, Malaysia and a Bachelor of Civil Law from the University of Oxford, United Kingdom.

WHAT WILL YOU LEARN?

Evolution of Corporate Liability: Forms, Types and Nature of Corrupt Practices

Outline

- Overview of the Malaysian National-Corruption Plan
- Corporate liability for corruption: International and Malaysia's legislation perspectives

Objectives

Upon completion of this programme, participants will be able to:

- Define the legislation of corporate liability in corruption from international and Malaysia's perspective
- Describe the forms, types and nature of corruptions

Recommended Learning Hours: 1 hour / 5 CPE points
(Pre & Post Assessments)

Key Features of the New Section 17A MACC Act 2009 on Corporate Liability

Outline

- What does it mean for commercial organisations, directors, partners and the management team
- Offences and penalties under Section 17A MACC Act 2009 and its implications

Objectives

Upon completion of this programme, participants will be able to:

- Interpret the rationale and key features of the new corporate liability provision
- Recognise the implications of the new legislation towards commercial organisations, directors, partners and the management team

Recommended Learning Hours: 1 hour / 5 CPE points
(Pre & Post Assessments)

Guidelines on Adequate Procedures: Safeguarding the Organisation against Corruption

Outline

- Overview of the Guidelines on Adequate Procedures and its 5 principles (T.R.U.S.T.)
- Overview of the ISO37001:2016 Anti-Bribery Management Systems
- Analysing organisational health and governance: Establishing a defense against corruption prosecution
- Recommended practices and applications and/or enforcement of the adequate procedures guidelines

Objectives

Upon completion of this programme, participants will be able to:

- Describe the adequate procedures guidelines and its 5 principles (T.R.U.S.T.) established within the organisation
- Explain how effective adequate procedures based on ISO37001:2016 ABMS will be implemented
- Discuss the recommended practices and relevant applications in safeguarding business organisation

Recommended Learning Hours: 1.5 hours / 5 CPE points
(Pre & Post Assessments)

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* The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.

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