

2018 E - INITIATIVES

1 SIDC CASHLESS INITIATIVE



Promote **cashless transactions** in SIDC bookstore



Implemented **Maybank QR pay** on 14 September 2018

2 IN-DEVELOPMENT PROJECT



Capital Market Competency Management System (CMS)
Target to launch by February 2019



Enterprise Resource Planning (ERP) system
Target to implement by July 2019

2018 STAFF ENGAGEMENT INITIATIVES



HOD Drive | Sekebum Bunga @ Ratu Rening Residency, Batang Kali | 14 April 2018



SIDC Retreat | The Haven Resort, Ipoh | 16 & 17 November 2018

2018 SOCIAL IMPACT INITIATIVES - SIDC CHANGING LIVES



Procurement of cookies baked by RC Deaf Missions, a social enterprise, for over 300 participants during BFF 2018 Conference



Going Green – ceased distribution of plastic bottled water in all SIDC events and programmes

2018 PROGRAMMES

Training Programmes

No	Programmes	No of Participants
1	Blockchain: A Game Changer for KYC	37
2	Business Trends: China and its Impact on ASEAN and Malaysia	15
3	Capital Market Director Programme	269
4	Change Management - Driving Effective Change	15
5	Changes in the Listing Requirements	126
6	Combating Money Laundering and Fraud in a Digital Economy	24
7	Corporate Governance Programmes	509
8	Creative Problem Solving & Decision Making	56
9	Cryptocurrency, Blockchain and Beyond: A Cautionary Tale	147
10	Customised Programmes (In-house)	4493
11	Cyber Security: Cyber Proofing for the Next Wave	24
12	Data Mining and Predictive Analytics – Using Current Data to Predict Future Trends	42
13	Developing Effective Negotiation Techniques	26
14	Developing Successful Marketing Strategies	23
15	Digital Marketing and Selling – Expanding Your Brand Awareness and Marketing Outreach	27
16	Familiarisation Programme for Marketing Representatives (FPMR)	163
17	Familiarisation Programme for Registered Representatives (FPRR) II	24
18	Global Business Insight Series (GBIS)	244
19	Harnessing the Power of Big Data Analytics	31
20	How Millennials are Shaping the Future of Capital Markets	40
21	Industry Transformation Initiative (ITI)	970
22	Implementing Effective Corporate Strategy Using Sun Tzu's Art of War	17
23	Islamic Capital Market Graduate Scheme (ICMGTS)	80
24	Islamic Capital Market Series	59
25	Linking Business Acumen to Business Sustainability	32
26	Managing Difficult Customers Professionally	36
27	Project Management, Planning and Execution (2 days)	18
28	QE1: Economics and Capital Market Analytics-Analysis of Business Impact Trend	21
29	SACLE Revision programme	287
30	SIDC - CFA 020 Luncheon	127
31	SIDC Conferences - 2019 Budget: What You Need To Know - The Economy, Capital Market and You	501
32	SIDC Conferences - Business Foresight Forum (BFF2018)	334
33	SIDC Workshop Series	112
34	Stress Management at Work	20
35	Transitioning from Data Warehousing to Big Data - Meeting Business Challenges of Data Volume, Velocity and Variety	19
Total		8968

SACLE Preparatory Courses

Module	Course Title	No of Participants	No of Sessions
Module 6	SACLE Revision: Stock Market and Securities Law (Module 6)	25	2
Module 7	SACLE Revision: Financial Statement Analysis and Asset Valuation (Module 7)	55	2
Module 9	SACLE Revision: Funds Management Regulation (Module 9)	26	3
Module 10	SACLE Revision: Asset & Funds Management (Module 10)	53	3
Module 12	SACLE Revision: Investment Management and Corporate Finance (Module 12)	62	3
Module 16	SACLE Revision: Rules and Regulations of Derivatives (Module 16)	2	1
Module 19	SACLE Revision: Advisory Services (Rules and Regulations) (Module 19)	64	4
Total		287	18

Industry Transformation Initiative (ITI)

No	Course Title	No of Participants	No of Sessions
EQ01	Economics and Capital Market I: Forces Shaping Global Capital Markets	173	4
EQ02	Essentials of Fundamental Analytics I: Analysing Company Performance	320	5
EQ03	Corporate Strategic Analytics I: Essentials of Corporate Proposal Analysis	285	4
EQ04	Corporate Governance and Ethics: Strengthening Professionalism Through Ethics	170	4
PM01	Portfolio Management: Designing an Equity Strategy	22	1
Total		970	18

INDUSTRY ENGAGEMENT INITIATIVES

Capital Market Services Representative's License (CMSRL) in Corporate Finance & Investment Advice (CCOFIA) - A partnership with Yayasan Peneraju Pendidikan Bumiputera

- A total of **88** participants have enrolled in Cohort 1, 2 & 3 of the CCOFIA Programme. The breakdown is as follows:
 - Cohort 1: 34 participants (completed)
 - Cohort 2: 28 participants (completed)
 - Cohort 3: 26 participants (completed)
- A total of **73 (83%)** out of the 88 participants from Cohort 1, 2, and 3 have **passed both Modules 12 & 19** of the SC Licensing Examinations in 2016, 2017 and 2018 respectively.
- Out of **44 (60%)** out of 73 participants (who passed both Modules 12 & 19) have obtained their license in Advising Corporate Finance (CF) or Investment Advice (IA)

Global Business Insight Series (GBIS) - A collaboration with International Institute for Management Development (IMD)

- Conducted 2 GBIS sessions as follows:
 - 26 March 2018 on **Strategies of Industry Disruptors with IMD's Professor for Marketing and Strategy, Dominique Turpin**. Attended by **112** participants
 - 4 September 2018 on **How Innovation is Changing Leadership with IMD's Professor for Innovation Management, Bill Fischer**. Attended by **132** participants
- 020 Luncheon Series - A partnership with Chartered Financial Analyst (CFA)**
 - Conducted 2 Luncheon Series as follows:
 - 29 January 2018 on **Top 9 Valuation Mistakes & How to Avoid Them with CFA's CEO of A.Stotz Investment Research, Dr. Andrew Stotz**. Attended by **53** participants
 - 26 April 2018 on **Future State of Investment Profession with CFA Institute's Managing Director, APAC, Nick Pollard**. Attended by **74** participants



SECURITIES INDUSTRY
DEVELOPMENT CORPORATION (SIDC)
ANNUAL HIGHLIGHTS 2018



**KEY
INITIATIVES
FOR
2019**

- Launch of SIDC Competency Management System (CMS); an online self-assessment tool to identify gaps and empower personal professional development planning.
- Enterprise Resource Planning (ERP)

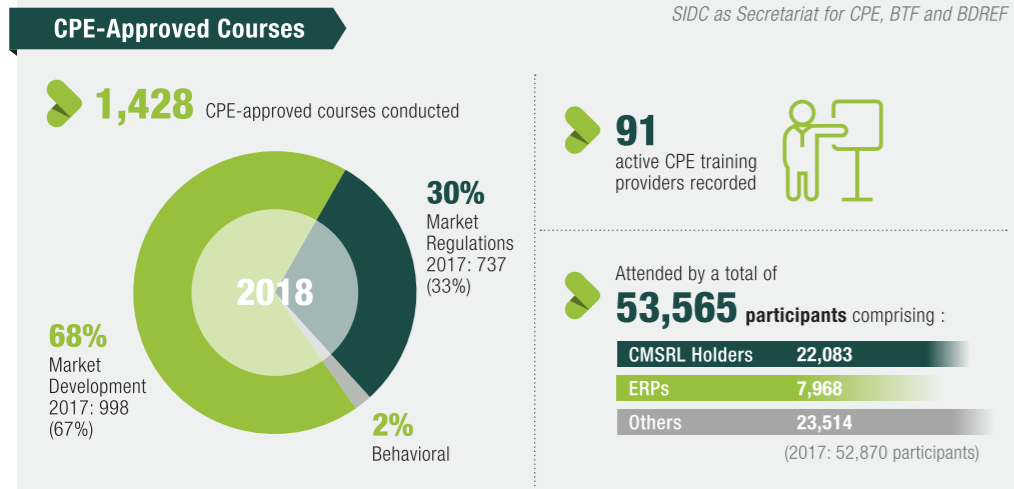
MARKET INTERMEDIARIES

1 SC LICENSING EXAMINATIONS (SCLE) 2018



SC Licensing Examinations		Number of candidates	
		2018	2017
Module 6	Stock Market and Securities Law	814	752
Module 7	Financial Statement Analysis and Asset Valuation	1,014	861
Module 9	Funds Management Regulation	209	194
Module 10	Asset and Funds Management	175	168
Module 11	Fundamentals of Compliance	87	80
Module 12	Investment Management and Corporate Finance	476	422
Module 14	Derivatives	126	176
Module 16	Rules & Regulations of Derivatives	122	159
Module 17	Securities and Derivatives Trading (Rules and Regulations)	11	23
Module 18	Securities and Derivatives Trading (Products and Analysis)	10	25
Module 19	Advisory Services (Rules and Regulations)	290	385
Module 19A	Advisory Services (Rules and Regulations) - Part A	127	136
Module 19B	Advisory Services (Rules and Regulations) - Part B	2	0
Total		3,463	3,381

2 CONTINUING PROFESSIONAL EDUCATION (CPE)



Employees of Registered Persons (ERPs)



3 BUMIPUTRA TRAINING FUND (BTF)

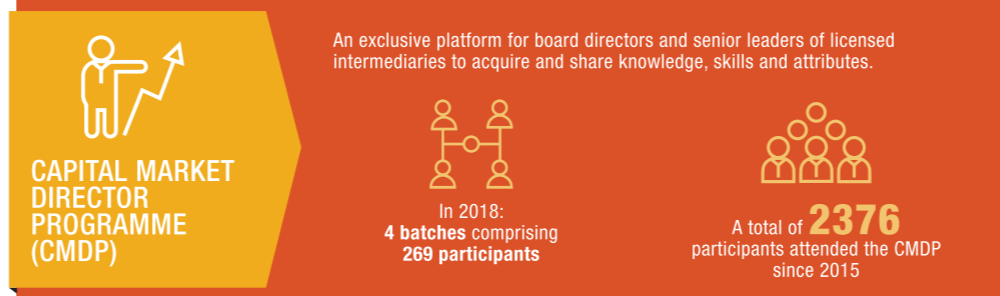
- 488 participants underwent various courses funded by BTF such as :
 - Creative Problem Solving and Decision Making
 - Market Misconduct: How to Detect and Prevent Them
 - Cryptocurrency, Blockchain & Beyond: A Cautionary Tale
 - Managing Difficult Customers Professionally
 - How Millennials are Shaping the Future of Capital Market

4 BUMIPUTERA DEALER REPRESENTATIVES EDUCATION FUND (BDREF)

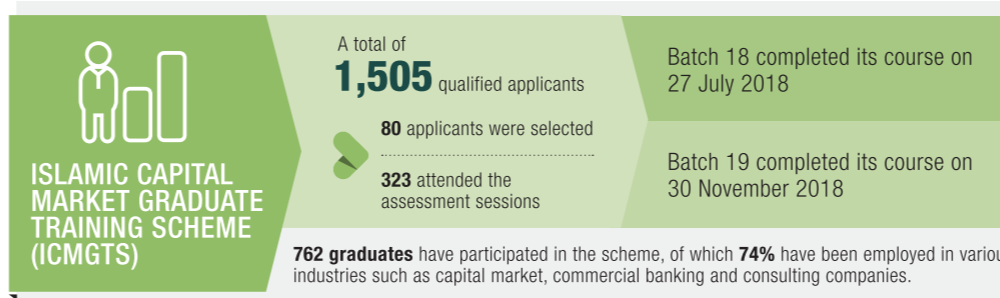
- 29 applications approved by BDREF Education committee to enroll into the following professional qualification courses:
 - Diploma in Technical Analysis (MSTA)
 - Master in Islamic Finance Professional (MIFP)
 - Chartered Financial Analyst
- Between 2003 – 2018, 203 participants have successfully completed various professional courses.
- 566 Bumiputera remisers and dealer's representatives took part in non – examination based program or short courses approved under BDREF in 2018.
- An increase of 67% from 339 participants recorded in 2017.

* include the multiple counting of candidates who attended more than one module/examination

DIRECTORS TRAINING



TALENT PIPELINE DEVELOPMENT

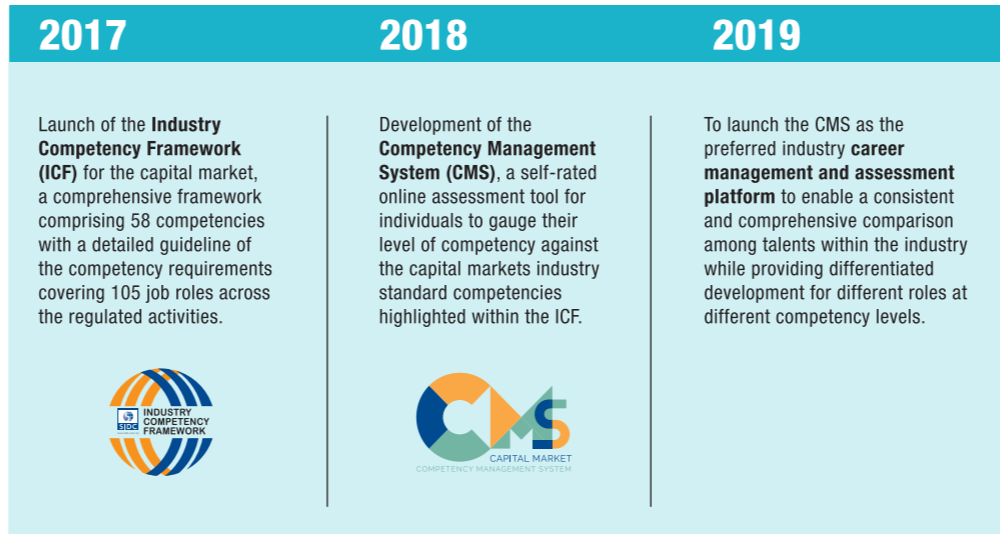


INTERNATIONAL REGULATORS PROGRAMME AND CONSULTANCY



SIDC INDUSTRY UTILITY PLATFORM

1 THE JOURNEY SO FAR... AND BEYOND



2 SIDC COMPETENCY MANAGEMENT SYSTEM (CMS)



1 CERTIFIED INVESTMENT PROFESSIONAL (CIP) QUALIFICATION

Engagement Sessions

- Engaged 27 public universities nationwide from January to March 2018 to create awareness of the CIP to universities.
- The representatives from each university were the Deputy Vice Chancellors, Deans of Faculties and Lecturers.
- Key feedback from the engagement session:
 - CIP qualification clarifies the career opportunities in the capital market
 - The qualification would be a significant 'value-add' in enhancing the employability of graduates
 - CIP qualification via its curriculum and modules would enhance the investment literacy of university students

SIDC CONFERENCES

BUSINESS FORESIGHT FORUM (BFF) 2018

- Held on 8 August 2018
- Themed "Disruptions and Collaborations The Rise of Capital Market Businesses 4.0?" the inaugural SIDC flagship conference served as a forum for business leaders and policy makers to recognise challenges and opportunities posed by new global market developments and work together in responding to them
- 334 registered participants** from various industries and businesses
- The forum saw a good mixture of speakers from the likes of
 - YBhg. Tan Sri Datuk Dr. Rebecca Sta Maria**, Chairman, Institute for Diplomacy and Economic Affairs (IDEAS)
 - Teng Chee Wai**, Managing Director, Affin Hwang Asset Management Berhad
 - Joann Enriquez**, Chief Operations Officer, Ethis Ventures
 - Dr. Mark Lovatt**, Chief Executive Officer, Trident Integrity Solution
- Earned over **RM 150,000** worth of sponsorship and supporting partners



2019 BUDGET: WHAT YOU NEED TO KNOW - THE ECONOMY, CAPITAL MARKET AND YOU

- Held on 14 November 2018
- This conference aimed to provide participants with new insights on the 2019 Budget proposals, its impact on the economy and capital market as well as the outlook for 2019
- 501 registered participants** from various industries and businesses
- Notable speakers include:
 - YB Dr. Ong Kian Ming**, Deputy Minister Of International Trade & Industry
 - Tony Pua**, Member Of Parliament For Damansara And Political Secretary To The Minister Of Finance
 - Dr. Verinderjeet Singh**, Non Executive Chairman, Axelasia Inc
 - Suhaimi Ilias**, Chief Economist, Maybank Investment Bank
- Earned over **RM 60,000** worth of sponsorship and supporting partners