

## 2017 E-INITIATIVES

### 1 INTEGRATED END-TO-END ONLINE REGISTRATION AND PAYMENT



- Implemented on 23 March.
- Registrations enabled for :
  - Corporate – allow group registration of corporate clients
  - Individual/public
- Supports FPX, credit card and AMEX payment.

### 2 SIDC MOBILE APP : SIDC-E



- Launched on 15 October and is now available on both Apple iTunes and Google Playstore.
- Official mobile application for events organised by SIDC.
- Designed to enhance user experience when attending SIDC events by consolidating all information in one place.

## 2017 CSR & TEAMBUILDING

The SIDC Family:

- Visited Pusat Transit Gelandangan Kuala Lumpur, a home for the homeless on 21 March.
- Conducted 'Kids & Cash' financial education session for children from Rumah Kasih Nurul Hasanah and treated the children to a day of fun at Zoo Negara on 20 May.



- The SIDC Treasure Hunt and Team Building 2017 took place on 31 March to 1 April at Seri Menanti Resort, Negeri Sembilan.



## KEY INITIATIVES FOR 2018



- COMPETENCY MANAGEMENT SYSTEM (CMS)
- CERTIFIED INVESTMENT PROFESSIONAL (CIP)
- TALENT DIAGNOSTIC SURVEY
- LEARNING & ASSESSMENT MANAGEMENT SYSTEM (LAMS)
- ENTERPRISE RESOURCE PLANNING (ERP) SYSTEM

## 2017 PROGRAMMES

### TRAINING PROGRAMMES

No	Programmes	No of Participants
1	Industry Transformative Initiative (ITI)	1,713
2	SCLE Revision Courses	180
3	Customised Programmes	1,006
4	Capital Market Director Programme (CMDP)	443
5	SIDC Business Foresight Forum (BFF) 2017	280
6	Sustainable Development Goals (SDG) Business Summit 2017 - 'Business as a Force for Good: The Role of the Private Sector in Achieving the Sustainable Development Goals'	211
7	Advanced Business Management Programme (ABMP)	25
8	APEC Capacity Building Program	28
9	Islamic Markets Programme (IMP)	31
10	Wealth Management in Practice: What Should Islamic Wealth Managers Focus On?	50
11	Industry Competency Framework Programmes	234
12	Corporate Governance Programmes	396
13	SIDC – IMD Global Business Insight Series	392
14	Shariah Professional Programme Series (Modules 3, 4, 4A and 5)	84
15	Sukuk Series (Modules 1 – 5)	59
16	2018 Budget: Implications to the Malaysian Economy and Capital Market	111
17	Stakeholder Conversation Series: Detecting and Mitigating Money Laundering Risk	18
18	Digital Tech in the Capital Market – What's Coming Your Way	16
19	Boards in the Digital Economy	35
20	e-KYC -: Compliance Made Easy	25
21	Digital Investment Management	82
22	Cyber Risk: Addressing the Emerging Trends	79
23	RegTech – The Innovation to Manage Risk and Compliance	30
24	Companies Act 2016: Changes and Implications to Company Directors	42
25	Compliance Matters : Ensuring Investor Protection and Integrity of the Capital Market	14
26	Familiarisation Programme For Registered Representatives (FPRR)	205
27	Islamic Capital Market Graduate Training Scheme (ICMGTS)	81
<b>Total</b>		<b>5,820</b>

### SCLE PREPARATORY COURSES

No	Module	Course Title	No of Courses	No of Participants
1	Module 6	Malaysian Stock Market & Securities Law Preparatory Course	3	50
2	Module 7	Financial Statement Analysis and Asset Valuation Preparatory Course	3	55
3	Module 9	Funds Management Regulations Preparatory Course	1	17
4	Module 10	Asset and Funds Management Preparatory Course	3	23
5	Module 12	Investment Management and Corporate Finance Preparatory Course	1	16
6	Module 19	Advisory Services: Rules & Regulations Preparatory Course	1	19
<b>Total</b>			<b>12</b>	<b>180</b>

### INDUSTRY TRANSFORMATION INITIATIVE (ITI)

No	Module	Course Title	No of Courses	No of Participants
1	EQ01	Economics and Capital Markets I: Forces Shaping the Global Capital Markets	7	402
2	EQ02	Essential of Fundamental Analytics I: Analysing Company Performance	6	438
3	EQ03	Corporate Strategic Analytics I: Essentials of Corporate Proposal Analysis	5	338
4	EQ04	Corporate Governance and Ethics: Strengthening Professionalism Through Ethics	7	414
5	PM01	Portfolio Management: Designing an Equity Strategy	2	97
6	PM02	Portfolio Management: In Pursuit of Performance and Returns	1	24
<b>Total</b>			<b>28</b>	<b>1,713</b>

### CUSTOMISED PROGRAMMES

No	Course Title	No of Participants
1	Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlaw Activities Act (AMLATFPUAA) 2001	25
2	Companies Act 2016: Changes and Implications To Company Directors and Business Owners	18
3	Customer Engagement: The Psychological Edge in Relationship Selling	52
4	Cyber Risk Awareness and Management Programmes	177
5	Economic Outlook For 2017: More or the Same, or is a Major Rebound on the Horizon	201
6	Ensuring Effective Compliance for Directors	21
7	Fraud Awareness Programme: Recognise It, Report It, and Stop It	11
8	Fundamentals of Shariah Principles and Rulings on Islamic Stockbroking	33
9	Corporate Governance Programmes	158
10	Malaysian Financial Reporting Standards (MFRS) for Boards: Understanding the Reporting Requirements	11
11	Market Misconduct: Causes and Prevention Techniques	102
12	Optimising Performance and Sustainability of SMEs Through Better Financial Management	48
13	Road to IPO Listing : The Growth & Expansion Strategies for SME	79
14	Supporting Business Strategy through Good Governance and Leadership	30
15	The Communication Strategy for Companies Going for Listing	40
<b>Total</b>		<b>1,006</b>

### INDUSTRY ENGAGEMENT INITIATIVES

- Global Business Insight Series (GBIS) - A collaboration with International Institute for Management Development (IMD)
  - Embracing Paradoxes: 2 March
  - High Performance Leadership In Times of Change and Uncertainty: 10 April
  - Innovation In the Financial Sector Where the World Will Be and How to Get There: 23 May
- Sustainable Development Goals (SDG) Business Summit 2017, co-organised by SIDC, Network Malaysia and United Nations Malaysia: 25 May
- Industry Competency Framework (ICF)
  - ICF Briefing: Raising the Bar in Capacity Building for the Malaysian Capital Market: 26 July
  - ICF Workshop 1: Finding the Right Talent Through ICF: 22 August
  - ICF Workshop 2: Spot-on Learning Through ICF: 23 August
- Advanced Business Management Programme (ABMP) : Managing Business Transformation in Uncertain Times: 21-23 August
- 2018 Budget: Implications to the Malaysian Economy and Capital Market in collaboration with Hong Leong Investment Bank: 2 November
- Kenanga Fraud Awareness Week: 13-17 November



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## INNOVATE



## ENGAGE



## SUSTAIN

SECURITIES INDUSTRY  
DEVELOPMENT CORPORATION (SIDC)

# ANNUAL HIGHLIGHTS 2017

# MARKET INTERMEDIARIES

## 1 SC LICENSING EXAMINATIONS (SCLE) 2017

**174** sessions

**13** SC Licensing Examinations Modules conducted



**3,381** candidates compared to **2,919** candidates in 2016

Overall passing rate of **49%** = **1,655** candidates

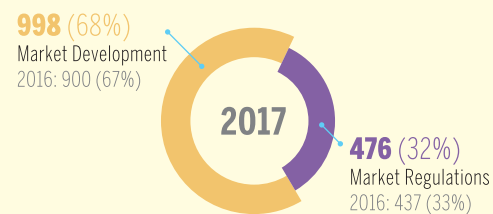
SC Licensing Examinations		Number of candidates*	
		2017	2016
Module 6	Stock Market and Securities Law	752	575
Module 7	Financial Statement Analysis and Asset Valuation	861	768
Module 9	Funds Management Regulation	194	197
Module 10	Asset and Funds Management	168	180
Module 11	Fundamentals of Compliance	80	63
Module 12	Investment Management and Corporate Finance	422	408
Module 14	Futures and Options	176	157
Module 16	Rules & Regulations of Futures and Options	159	150
Module 17	Securities and Derivatives Trading (Rules and Regulations)	23	15
Module 18	Securities and Derivatives Trading (Products and Analysis)	25	13
Module 19	Advisory Services (Rules and Regulations)	385	302
Module 19A	Advisory Services (Rules and Regulations) - Part A	136	84
Module 19B	Advisory Services (Rules and Regulations) - Part B	0	7
<b>Total</b>		<b>3,381</b>	<b>2,919</b>

## 2 CONTINUING PROFESSIONAL EDUCATION (CPE) 2017

SIDC AS SECRETARIAT FOR CPE, BTF AND BDREF

### CPE - Approved Courses

**1,474** CPE-approved courses conducted



**96** active CPE training providers recorded

Attended by a total of **52,870** participants comprising:

CMSRL holders	<b>22,338</b>
ERPs	<b>7,352</b>
Others	<b>22,680</b>
(2016: 48,634 participants)	

### Employees of Registered Persons (ERPs)

**5,824** registered ERPs from **43 RPs** (6.5% increase from 2016)

**4,480 (77%)** of the ERPs remain active

## 3 BUMIPUTRA TRAINING FUND (BTF)

**506** participants underwent various courses funded by BTF such as:

- Advanced Business Management Programme (ABMP)
- Islamic Markets Programme (IMP)
- Compliance Programme
- Industry Transformation Initiative (ITI)
- Personal Effectiveness Programmes

## 4 BUMIPUTRA DEALER REPRESENTATIVES EDUCATION FUND (BDREF)

**69** applications were approved by the BDREF Education Committee to enrol into the following professional qualification courses:

- Chartered Financial Analyst (CFA)
- Diploma in Technical Analysis (MSTA)
- Master in Islamic Finance Professional (MIFP)
- Certified Market Technician (CMT)

Between 2003-2017, **189** participants have successfully completed various professional courses.

**339** Bumiputera remisers and dealer's representatives took part in non-examination-based programmes or short courses approved under BDREF in 2017.

# DIRECTORS TRAINING

## CAPITAL MARKET DIRECTOR PROGRAMME (CMDP)

An exclusive platform for board of directors and senior leaders of licensed intermediaries to acquire and share knowledge, skills and attributes.

In 2017: **6 batches** comprising **82 participants**



A total of **2,107** participants attended the CMDP since 2015

## THE 10<sup>TH</sup> ADVANCED BUSINESS MANAGEMENT PROGRAMME (ABMP)

Themed 'Managing Business Transformation in Uncertain Times', the 10th ABMP focused on how to respond to governance & digital transformation while sustaining top and bottom-line growth. The 3-day programme included study visits to Accenture and IBM Watson in Singapore.



10th ABMP took place in Johor Bharu from 21 to 23 August 2017 with **25 participants** comprising companies' senior management

# TALENT PIPELINE DEVELOPMENT

## 1 ISLAMIC CAPITAL MARKET GRADUATE TRAINING SCHEME (ICMGTS)

SIDC SUPPORTS SC'S ICM INITIATIVES

A total of **1,047** applicants

**252** attended the assessment sessions  
**80** applicants were selected

Batch 16 completed its course on 28 July 2017

Batch 17 completed its course on 29 November 2017

Over **681 graduates** have participated in the scheme, of which **518 (76%)** have been employed in various industries such as capital market, commercial banking and consulting companies.

## 2 SHARIAH PROFESSIONALS PROGRAMME (SPP)

SPP was exclusively developed to integrate the theoretical and practical aspects of the industry for Shariah Advisers, academicians and Islamic Finance Practitioners.

**842 participants** attended various SPP modules since 2011

# INTERNATIONAL REGULATORS PROGRAMME AND CONSULTANCY

## ISLAMIC MARKETS PROGRAMME (IMP)

Promoting Social Enterprise and Responsible Finance: A New Agenda for the Islamic Capital Market

9 - 11 October 2017  
SC Malaysia

**70** attendees comprising

- Regulators
- Local and foreign industry participants
- Institutions representing their interest in Islamic markets and social enterprise

## APEC-CAPACITY BUILDING PROGRAMME

APEC-ARFP Regional Technical Consultation Workshop: Advancing the Fund Management Industry's Capability to Make Regional Cross-Border Offers

14 - 16 November 2017  
Kuala Lumpur

**26** participants

- 6 participants** each from SSC Vietnam, SEC Philippines and OJK and MOF Indonesia
- 4 participants** from SC Malaysia
- 2 participants** each from SIDREC and Monetary Authority Singapore

## INDUSTRY COMPETENCY FRAMEWORK (ICF)

SIDC as the learning and development arm of SC Malaysia rolled out the Industry Competency Framework (ICF) on 6 July 2017.

The ICF aims to provide universal guidance of competencies expected of capital market professionals and enhance competitiveness of the industry in view of the changing global landscape.

The ICF is the first comprehensive competency framework for the Malaysian capital market.



**58** competency titles



**105** job roles



**7** main regulated activities



The framework is also expected to expand the curriculum for Continuing Professional Education (CPE), beyond knowledge and skills in technical, digital application, ethics and corporate governance programmes for capital market professionals, to include behavioral competencies in areas of self-management, people management and organisational leadership.

The framework together with detailed competency model and dictionary were socialised with the industry in July 2017, followed by workshops to establish familiarity for its users. **234 participants** attended briefing sessions & workshops on the ICF.

The ICF Microsite ([www.sidc.com.my/ICF](http://www.sidc.com.my/ICF)) was developed as a resource platform.

## BUSINESS FORESIGHT FORUM (BFF) 2017

25 October 2017  
SC Malaysia

Themed "What Does It Take for Business to Develop Greater Resilience and Sustainability?" the inaugural SIDC flagship programme served as a forum for business leaders to highlight the challenges and opportunities posed by new global market developments and recognising the business response to the emerging challenges of Volatility, Uncertainty, Complexity, Ambiguity (VUCA).



**280 participants** from various industries and businesses attended BFF 2017.

The forum saw a good mixture of speakers from the likes of Tan Sri Dato' Dr Mohd Munir Majid, Datuk Ali Abdul Kadir and Mr Shan Saeed, Chief Economist, IQI Global to young talents such as Faisal Ariff, founder, BorderPass and Fadzrudin Shah Anuar, co-founder, Fashion Valet.

## CAPITAL MARKET SERVICES REPRESENTATIVE'S LICENSE (CMSRL) IN CORPORATE FINANCE & INVESTMENT ADVICE (CCOFIA)

- A PARTNERSHIP WITH YAYASAN PENERAJU PENDIDIKAN BUMIPUTERA

**28** pax cohort 1

**24** pax passed SCLE Modules 12 & 19

**17** pax obtained CMSRL in CF or IA