NOTE

Incomplete or faxed form will not be processed.

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SC LICENSING EXAMINATIONS FORM

PLEASE PROVIDE ALL DETAILS REQUIRED AND ☒ WHERE APPLICABLE.

FOR OFFICE USE ONLY

APPLICATION

☐ APPROVED

☐ REJECTED

Reason (if reject):

☐ Company-sponsored

☐ Individual

PAYMENT via:

☐ Cash

☐ Credit card

☐ Cheque

CHEQUE NO.

AMOUNT

RECEIPT NO.

SERIAL NO.

DC

(Discount Coupon for 1st Sitting Candidates Only)

STAMP RECEIPT BY CS
DATE / BY

VERIFIED BY A/C
DATE / BY

☐ First Sitting ☐ Re-sitting ☐ Deferment ☐ Cancellation

Name *(as in NRIC)*

Malaysian-NRIC - - Status ☐ Bumi ☐ Non-Bumi

Others-Passport No Sex ☐ Male ☐ Female

Country of Issue

Highest Qualification#

 # Please specify (example: Degree in Business Management, Masters in Actuarial Science)

Designation Capital Market Experience Year(s)

Company Name

Correspondence Add. (please ☒)
☐ *Company*
☐ *House*

Postcode State

Tel. No. *(Office)* - Mobile -

Tel. *No. (House)* - Fax No. -

E-mail

Contact Person*

Tel.* - Fax* -

**If applicable*

Please indicate your preferred 1st and 2nd choice of examination dates and ☒ the session accordingly.

Module		Examination Date					For deferment/ Cancellation ONLY*		
		1st Choice	Session AM	Session PM	2nd Choice	Session AM	Session PM	Original Date	Session AM	Session PM
6	Stock Market & Securities Law		☐	☐		☐	☐		☐	☐
7	Financial Statement Analysis & Asset Valuation		☐	☐		☐	☐		☐	☐
9	Funds Management Regulation		☐	☐		☐	☐		☐	☐
10	Asset and Funds Management		☐	☐		☐	☐		☐	☐
11	Fundamentals of Compliance		☐	☐		☐	☐		☐	☐
12	Investment Management & Corporate Finance		☐	☐		☐	☐		☐	☐
14	Futures & Options		☐	☐		☐	☐		☐	☐
16	Rules & Regulations of Futures and Options		☐	☐		☐	☐		☐	☐
17	Securities and Derivatives Trading (Rules and Regulations)		☐	☐		☐	☐		☐	☐
18	Securities and Derivatives Trading (Products and Analysis)		☐	☐		☐	☐		☐	☐
19	Advisory Services (Rules and Regulations)		☐	☐		☐	☐		☐	☐
19A	Advisory Services (Rules and Regulations) - Part A		☐	☐		☐	☐		☐	☐
19B	Advisory Services (Rules and Regulations) - Part B		☐	☐		☐	☐		☐	☐

** To be filled by those who wish to defer or cancel their examination(s) ONLY.*

I hereby declare that I have read and understood the SC Licensing Examination Terms & Conditions 2010 and I agree to abide by them.

Candidate's Signature Date

Securities Industry Development Corporation
3 Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur, Malaysia. Tel: 603-6204 8665/8667 Fax: 603-6201 8008/6204 8390