

Preparatory Courses for Module 6 and 7 Licensing Examinations



specifically designed for
candidates preparing
for the licensing
examination


Module 6 Malaysian Stock Market & Securities Law

INTRODUCTION

This 2 ½ days Preparatory Course is an intensive course specifically designed for candidates preparing for the Module 6 licensing examination. It provides a basic understanding of the Malaysian equity market focusing on securities regulations, capital raising on the primary market as well as secondary trading of securities on the Bursa Malaysia. The topics covered are in accordance with the examination study guides related to Stock Market and Securities Law.

WHY YOU CANNOT MISS THIS COURSE

Upon completion of the course, you will be able to:

- Comprehend the key points that are covered in the Module 6 licensing examination: Malaysian Stock Market & Securities Law
- Experience computer-based examination with a sample set 

WHO SHOULD ATTEND

- Candidates preparing for the Module 6 licensing examination
- New entrants to the capital market industry and staff of stockbroking firms

Note: Participants are required to bring along to this course:

- Securities Commission Act 1993
- Capital Markets & Services Act 2007
- Rules of Bursa Malaysia Securities Berhad
- Rules of Bursa Malaysia Securities Clearing Sdn Bhd
- Listing Requirements of Bursa Malaysia Securities Berhad
- Listing Requirements of Bursa Malaysia Securities Berhad for the MESDAQ Market
- Licensing Handbook
- Guidelines on Prevention of Money Laundering and Terrorism Financing for Capital Market Intermediaries

PROGRAMME


DAY ONE

8.30 am	Registration
9.00 am	Overview of the Malaysian Stock Market
10.00 am	Regulation of the Securities Industry
11.15 am	Business Structures Capital Raising on the Primary Market
2.00 pm	Trading on the Secondary Market
4.15 pm	Clearing, Delivery, Settlement and Corporate Actions
5.30 pm	End of Day One

DAY TWO

9.00 am	Relationship between Stockbroking Company and Client
11.00 am	Law of Contract Negligent Misstatement
2.00 pm	Licensing - Securities Offences
4.15 pm	Take-overs
5.30 pm	End of Day Two

DAY THREE

9.00 am	Simulation with sample set 
10.15 am	Revision and feedback session on sample sets
12.30 pm	End of Programme

SPEAKER : Clement Almaide, Kenanga Investment Bank Berhad.

Clement Almaide is the Head of Dealing of Kenanga Investment Bank Berhad. He joined K&N Kenanga Berhad in 1994 as a Trading Manager and was promoted to the position of Senior Trading Manager in 1998 before assuming his present position in 2000. Prior to his appointment in K&N Kenanga, he held various positions at Bursa Malaysia from 1973 to 1994. He has lectured at the Research Institute of Investment Analysts Malaysia (RIIAM) from 1989 to 1998, and conducted courses for intermediaries and regulators on trading rules, securities law and corporate actions.

YOUR INVESTMENT

RM820 per participant inclusive of the complete course materials, refreshments and lunch.

Module 7 Financial Statement Analysis and Asset Valuation

INTRODUCTION

This 2 ½ days Preparatory Course is an intensive course specifically designed for candidates preparing for the Module 7 licensing examination.

The topics covered are in accordance with the licensing examination for Module 7: Financial Statement Analysis and Asset Valuation. Participants will learn how to interpret and analyse financial statements for assessment of company performance and the significance of asset valuation in making rational investment decisions.

WHY YOU CANNOT MISS THIS COURSE

Upon completion of the course, participants will be able to:

- Understand the key areas covered in the examination for Module 7 licensing examination
- Experience computer-based examination with a sample set **NEW !**

WHO SHOULD ATTEND

- Candidates preparing for the Module 7 licensing examination
- New entrant to the capital market industry and staff of stockbroking firms.

Note: Participants are required to bring along a financial calculator to this course.



PROGRAMME


DAY ONE

8.30 am	Registration
9.00 am	Importance of Financial Statement Analysis
10.45 am	Elements of Financial Statements
2.00 pm	Financial Statement: Key Areas
3.45 pm	Financial Statement Analysis
5.30 pm	End of Day One

DAY TWO

9.00 am	Asset Valuation Fixed Income and Money Markets
10.45 am	Fixed Income and Money Markets (cont'd)
2.00 pm	Equity Markets (cont'd)
3.45 pm	Equity Markets (cont'd)
5.30 pm	End of Day Two

DAY THREE

9.00 am	Simulation with sample set 
10.15 am	Revision and feedback session on sample sets
12.30 pm	End of Programme

SPEAKER : Wong Kah Teck, CIMB Private Banking.

Wong Kah Teck received his Bachelor of Arts in Economics and his Bachelor of Science degree in Finance from the University of Pennsylvania's College of Arts and Sciences and Wharton School, respectively. He passed Level III of the Chartered Financial Analyst examination in 2005.

He is currently a Senior Manager with CIMB Private Banking, where he is responsible for the analysis of mutual funds. Prior to joining CIMB, Kah Teck was with the Securities Commission and AmMerchant Bank Berhad.

YOUR INVESTMENT

RM820 per participant inclusive of the complete course materials, refreshments and lunch.

Module 6 & Module 7 Preparatory Course Schedule 2009

No	Date	Module Code	Programme	Venue	SME	Your Investment
1	14-15 March 21 March	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
2	21-22 March 28 March	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820
3	11-12 April 18 April	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
4	18-19 April 25 April	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820
5	25-26 April 2 May	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
6	2-3 May 16 May	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820
7	18-19 July 25 July	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
8	25-26 July 1 Aug	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820
9	3-4 Oct 10 Oct	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
10	10-11 Oct 24 Oct	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820
11	7-8 Nov 14 Nov	Module 6 Module 6 (Simulation)	Malaysia Stock Market & Securities Law (Module 6) Preparatory Course	SC	Clement Almaide	RM 820
12	14-15 Nov 21 Nov	Module 7 Module 7 (Simulation)	Financial Statement Analysis and Asset Valuation (Module 7) Preparatory Course	SC	Wong Kah Teck	RM 820

*SME = Subject Matter Expert

Disclaimer: All information in the brochure is correct at the time of printing and is subjected to changes.

Registration Form

Please register me for the following preparatory course:

Module 6 Date: _____ Module 7 Date: _____

REGISTRATION DETAILS (Please photocopy this form for multiple registrations)	
Name	:
Designation	:
Company	:
Address	:
Contact Person	:
Designation	:
Tel no.	:
Fax no.	:
Email	:

PAYMENT DETAILS (Please fill in where applicable)	
MODE	AMOUNT (RM)
Cheque/Bankdraft No. _____	
Letter of Undertaking Dated _____	

All cheques/ bank drafts must be made in Malaysian Ringgit, crossed and made payable to SECURITIES INDUSTRY DEVELOPMENT CORPORATION. Payment through Visa or Mastercard can also be made upon registration (subject to availability of seats).

REGISTRATION AND INQUIRIES

Terms

Registration is on a first-come-first-served basis. SIDC will confirm your registration and issue the confirmation slip only upon receipt of full payment or letter of undertaking. Otherwise, your registration will not be processed.

Walk-in participant(s) will only be admitted on the basis of seat availability and with immediate full payment.

Cancellation must be made in writing and reach SIDC Marketing & Corporate Services by the closing date. Refunds will be given based on the cancellation notice as below. Otherwise substitutes are welcomed at no extra charge.

Written notice received within

Two weeks or more prior to seminar

Less than two weeks prior to seminar

Refund amount

50% refund amount with a complete set of seminar materials

Full fee will be applicable with no refund, with a complete set of seminar materials

Invoice

This page serves as our official invoice. No further invoice will be issued.

Disclaimer

SIDC reserves the right to amend the programme as it deemed necessary.



www.sidc.com.my

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