



**Asset and Funds Management (Module 10) Preparatory Course**  
❖ *Securities Commission Malaysia*

## **INTRODUCTION**

This 2½-day Preparatory Course is an intensive course specifically designed for candidates preparing for the **Module 10 licensing examination**.

The topics covered are in accordance with the licensing examination outlines for Module 10: Asset and Funds Management. It provides a basic understanding of investment concepts, portfolio theory principles, financial planning approach and investment management process. Major asset classes and asset allocation will be discussed together with various strategies in management portfolios.

In order to fully benefit from this course, we highly recommend that participants read the relevant reading materials required for the Module 10 licensing examinations. This will ensure that participants are well equipped with basic understanding of the subject matters to appreciate the lessons taught and discussions held during the course.

## **WHY YOU CANNOT MISS THIS COURSE**

At the end of this programme, participants will be able to:

- **Understand** the key areas covered in the examination for Module 10 licensing examination
- **Experience** computer-based examination with sample set

## **WHO SHOULD ATTEND**

- Candidates preparing for the Module 10 licensing examination
- New entrant to the capital market industry and staff of fund management companies.

**Note:** Participants are required to bring along a financial calculator

<b>PROGRAMME - DAY ONE</b>	
8.30am	Registration
9.00am	<b>Investment Concepts</b> <ul style="list-style-type: none"> <li>• Measurement of investment returns</li> <li>• Risk and return objectives</li> </ul>
10.00am	<b>Asset Class and Asset Allocation</b> <ul style="list-style-type: none"> <li>• Major asset classes and their characteristics</li> <li>• Asset allocation and asset allocator</li> <li>• Types of investment funds</li> </ul>
10.45m	Refreshment
11.00pm	<b>Financial Planning and Portfolio Management</b> <ul style="list-style-type: none"> <li>• Financial planning concepts</li> <li>• Investment mandate and investment styles</li> <li>• Portfolio management process</li> <li>• Active vs passive</li> <li>• Selection of fund manager</li> </ul>
1.00pm	Lunch
2.00pm	<b>Portfolio Theory</b> <ul style="list-style-type: none"> <li>• Modern portfolio theory</li> <li>• The Capital Asset Pricing Model</li> <li>• Efficient Markets Hypothesis</li> <li>• Arbitrage Pricing Theory</li> </ul>
3:45pm	Refreshment
4:00pm	<b>Risk Management</b> <ul style="list-style-type: none"> <li>• Risk measurement</li> <li>• Types of risk</li> <li>• Derivatives</li> </ul> <p>Quiz and summary</p>
5.30pm	End of Day One

<b>PROGRAMME - DAY TWO</b>	
9.00am	<b>Managing Equities Portfolio</b> <ul style="list-style-type: none"> <li>• Fundamental vs technical analysis</li> <li>• Equity valuation</li> <li>• Risks associated with equities portfolio</li> </ul>
10.45am	Refreshment
11.00am	<b>Managing Debt Portfolio</b> <ul style="list-style-type: none"> <li>• Various types of debt securities</li> <li>• Yield curve</li> <li>• Passive vs active</li> <li>• Risk associated with debt portfolio</li> </ul>
1.00pm	Lunch
2.00pm	<b>Managing Property Portfolio, International Assets and Alternative Asset</b> <ul style="list-style-type: none"> <li>• Role of property in a diversified portfolio</li> <li>• Attribution analysis</li> <li>• Correlations and global diversification</li> <li>• Alternative asset class</li> <li>• Risk associated with property portfolio, international assets and alternative asset</li> </ul>
3:45pm	Refreshment
4:00pm	<b>Monitoring Fund Performance</b> <ul style="list-style-type: none"> <li>• Performance measurement</li> <li>• Assessing future performance</li> <li>• Evaluation of fund managers</li> </ul> <p>Quiz and summary Hand-out sample set</p>
5.30pm	End of Day Two

<b>PROGRAMME - DAY THREE</b>	
9.00am	<b>Simulation with sample set</b>
10.00am	Refreshment
10.15am	<b>Revision and feedback session on sample sets</b>
12.30pm	End of Day Three