



## EQ04 - Corporate Governance and Ethics: Strengthening Professionalism Through Ethics

### COURSE OVERVIEW

This course is designed to enable participants to comprehend the importance of corporate governance (CG) in managing the business and applying ethical principles in order to continuously uphold professional integrity.

### PERFORMANCE OBJECTIVES

On completion of this course, participants will be able to:

- Understand the implication of CG to the market as a whole and its impact on Malaysia's competitive investing environment from foreign direct investment (FDI)
- Identify and distinguish factors which lead to good CG and best practices
- Recognise the possible implication of CG breaches and being able to highlight "red flags", if any, to clients

### COURSE PROGRAMME

8.30 am - 9.00 am	Registration
9.00 am - 9.10 am	Welcome remarks and introduction to the course
9.10 am - 9.40 am	<b>Session I:</b> Importance of Corporate Governance (CG)
9.40 am - 10.00 am	Morning refreshments
10.00 am - 10.50 am	Case Study 1
10.50 am - 11.00 am	Break
11.00 am - 11.30 am	<b>Session II:</b> Ethical principles and its concept in relation to a licensed intermediary's role
11.30 am - 12.20 pm	Case Study 2
12.20 pm - 12.50 pm	<b>Session III:</b> Malaysia Stocks
12.50 pm - 2.00 pm	Lunch
2.00 pm - 2.50 pm	Case Study 3
2.50 pm - 3.20 pm	<b>Session IV:</b> Corporate Governance in Family Controlled PLCs
3.20 pm - 3.40 pm	Afternoon refreshments
3.40 pm - 4.30 pm	Case Study 4
4.30 pm - 5.00 pm	Q & A
5.00 pm - 5.30 pm	Debrief and Program Wrap-up