

NOTE  
Incomplete or faxed form will not be processed.



## SC LICENSING EXAMINATIONS FORM

PLEASE PROVIDE ALL DETAILS REQUIRED AND  WHERE APPLICABLE.

**FOR OFFICE USE ONLY**

APPLICATION

APPROVED

REJECTED

Reason (if reject):

Company-sponsored

Individual

PAYMENT via:

Cash

Credit card

Cheque

CHEQUE NO.

AMOUNT

RECEIPT NO.

SERIAL NO.

DC

(Discount Coupon for 1<sup>st</sup> Sitting Candidates Only)

STAMP RECEIPT BY CS DATE / BY

VERIFIED BY A/C DATE / BY

First Sitting   
  Re-sitting   
  Deferment   
  Cancellation

Name (as in NRIC) \_\_\_\_\_  
 Malaysian-NRIC \_\_\_\_\_ - \_\_\_\_ - \_\_\_\_\_ Sex  Male  Female  
 Others-Passport No \_\_\_\_\_ Status  Bumi  Non-Bumi  
 Country of Issue \_\_\_\_\_ Race  Malay  Chinese  Indian  
 Highest Qualification# \_\_\_\_\_  Others (Pls specify) \_\_\_\_\_

# Please specify (example: Degree in Business Management, Masters in Actuarial Science)

Designation \_\_\_\_\_ Capital Market Experience \_\_\_\_\_ Year(s) \_\_\_\_\_  
 Company Name \_\_\_\_\_  
 Correspondence Add. (please )  
 Company \_\_\_\_\_  
 House \_\_\_\_\_  
 Postcode \_\_\_\_\_ State \_\_\_\_\_  
 Tel. No. (Office) \_\_\_\_\_ Mobile \_\_\_\_\_  
 Tel. No. (House) \_\_\_\_\_ Fax No. \_\_\_\_\_  
 E-mail \_\_\_\_\_  
 Contact Person\* \_\_\_\_\_  
 Tel.\* \_\_\_\_\_ Fax\* \_\_\_\_\_

\*If applicable

Please indicate your preferred 1<sup>st</sup> and 2<sup>nd</sup> choice of examination dates and  the session accordingly.

Module	Examination Date				For deferment/ Cancellation ONLY*		
	1 <sup>st</sup> Choice	Session		2 <sup>nd</sup> Choice	Session		
		AM	PM		AM	PM	Original Date
6 Stock Market & Securities Law	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7 Financial Statement Analysis & Asset Valuation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9 Funds Management Regulation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10 Asset and Funds Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11 Fundamentals of Compliance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12 Investment Management & Corporate Finance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14 Futures & Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16 Rules & Regulations of Futures and Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17 Securities and Derivatives Trading (Rules and Regulations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18 Securities and Derivatives Trading (Products and Analysis)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19 Advisory Services (Rules and Regulations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19A Advisory Services (Rules and Regulations) - Part A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19B Advisory Services (Rules and Regulations) - Part B	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\* To be filled by those who wish to defer or cancel their examination(s) ONLY.

I hereby declare that I have read and understood the SC Licensing Examination Terms & Conditions 2008 and I agree to abide by them.

Candidate's Signature \_\_\_\_\_ Date \_\_\_\_\_

**Securities Industry Development Corporation**

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